

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
11 December 2003 (11.12.2003)

PCT

(10) International Publication Number  
**WO 03/101532 A2**

(51) International Patent Classification<sup>7</sup>: **A61N 1/05**,  
A61B 5/04

(21) International Application Number: PCT/US03/17475

(22) International Filing Date: 4 June 2003 (04.06.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/385,761 4 June 2002 (04.06.2002) US

(71) Applicant: **CYBERKINETICS, INC.** [US/US]; 100  
Foxborough Blvd., Suite 240, Foxborough, MA 02035  
(US).

(72) Inventors: **NURMIKKO, Arto, V.**; 82 Sargent Av-  
enue, Providence, RI 02906 (US). **PATTERSON, III,**  
**William, R.**; 4 Wheeler Street, Rehoboth, MA 02769

(US). **DONOGHUE, John, P.**; 109 Hazard Avenue,  
Providence, RI 02906 (US). **FLAHERTY, Christopher,**  
**J.**; 242 Ipswich Road, Topsfield, MA 01983 (US).

(74) Agents: **GARRETT, ARTHUR, S.** et al.; FINNEGAN,  
HENDERSON, FARABOW, GARRETT, & DUNNER,  
L.L.P., 1300 I Street, NW, Washington, DC 20005-3315  
(US).

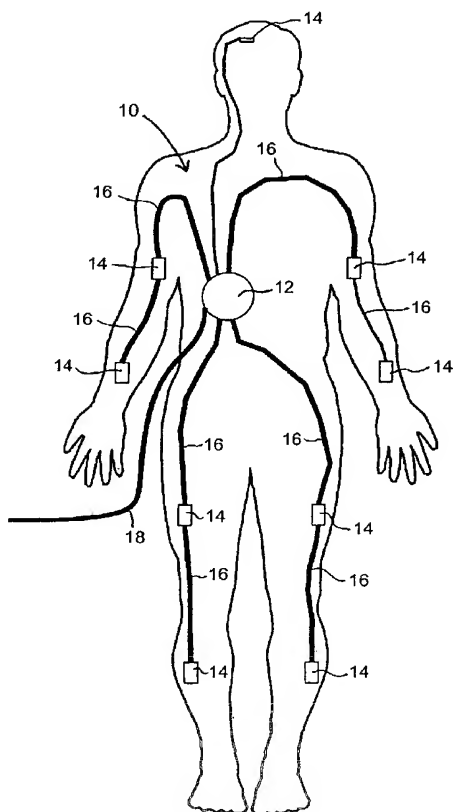
(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD,  
SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ,  
VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,

[Continued on next page]

(54) Title: OPTICALLY-CONNECTED IMPLANTS AND RELATED SYSTEMS AND METHODS OF USE

(57) Abstract: According to embodiments of the invention, one or more im-  
plants in a body may be connected with optical fibers for transmitting data and/or  
power to or from the implants. Aspects of the invention related to various em-  
bodiments of the actual implant as well as to various embodiments for connect-  
ing optical fibers to the implants.



WO 03/101532 A2



ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**Published:**

— *without international search report and to be republished upon receipt of that report*

OPTICALLY-CONNECTED IMPLANTS  
AND RELATED SYSTEMS AND METHODS OF USE

**DESCRIPTION OF THE INVENTION**

Government Support

[001] The U.S. Government may have certain rights in this invention as provided for by the terms of grant No. MDA972-00-1-0026 from the Defense Advanced Projects Agency.

Related Applications

[002] This patent application claims the benefits of priority of U.S. Provisional Application No. 60/385,761, filed June 4, 2002, the entire contents of which are incorporated herein by reference.

Field of the Invention

[003] The present invention relates to optical implants and associated systems and methods for using such implants in a body. More particularly, the invention relates to optically connected implant devices and associated methods and systems for communicating information to and from such implants.

Background of the Invention

[004] Recent advances in neurophysiology have allowed researchers to study the electrical activity of highly localized groups of neurons with high temporal accuracy and in specific locations in the brain. These advances create the possibility for brain-computer interfaces allowing an amputee to control a prosthetic limb in much the same way that the amputee would control a natural limb. Although noninvasive sensors, such as multichannel electroencephalogram (EEG) sensors placed on the surface of a person's skin, have been used as simple brain-computer interfaces, they do not currently offer the temporal or spatial resolution needed for prosthetic control. Such noninvasive sensors can detect only mass fluctuations of neuron activity that have been attenuated by the intervening bone and tissue. As a result, these types of brain-computer interfaces can derive only simple forms of

information from the neuron activity. They also operate very slowly because the mass neuron signal activity only modulates at very low rates, requiring more processing time.

[005] More advanced brain-computer interfaces use sensing electrodes placed directly in contact with the brain to detect neuron activity. These electrodes, which may comprise a micro-wire or hatpin-like electrode, each form a recording channel that directly detects the electrical impulse signal from all of the neurons in the electrode's vicinity. Further signal processing then isolates the individual neuron signals, each of which comprises a series of electrical spikes reflecting information correlated to a respective function (e.g., a particular movement of a particular limb). The brain encodes this information according to the frequency or firing rate of the spikes. By collecting the firing rates of a number of individual neuron signals detected via a number of recording channels, a brain-computer interface can derive control signals to control a neural prosthetic device.

[006] Many types of therapeutic devices, including brain-computer interfaces, can be implanted into the body, such as muscle stimulators, magnetic therapy devices, or drug delivery systems. A number of such devices may also be implanted where the different implants may then communicate with one another. In such cases, using electronic wiring to connect the interfaces to one another has a number of drawbacks. For one, the electrical wiring may corrode upon being exposed to bodily fluids. Electrical wires also act as antennas and are thus susceptible to picking up undesirable electronic noise, which may have a significant impact on the low amplitude data signals communicated in an implant system. Further, transmitting electrical signals through the body presents a number of issues associated with insulating the person from electrical shock. Moreover, systems using traditional electrical wiring for communicating power and data require a substantial amount of energy to power the system. For an

implanted system that runs continuously, a more energy efficient solution is needed.

[007] Therefore, an implant system is desired in which power, data, and other information may be communicated in ways solving the above issues.

### **SUMMARY OF THE INVENTION**

[008] According to a first aspect of the invention, a system for treating a body is disclosed. The system comprises a first device configured to be implanted within the body and a second device. An optical fiber, optically connected to the first device and the second device, is configured to be at least partially implanted in the body and capable of transmitting power and data between the first device and the second device.

[009] According to a second aspect of the invention, a system for treating a body is disclosed. The system comprises a first device configured to be implanted within the body and a second device. An optical fiber, optically connected to the first device and the second device, is configured to be at least partially implanted in the body and capable of transmitting data between the first device and the second device. An electrical conductor is connected to the first device and the second device. The electrical conductor is configured to be at least partially implanted in the body and is capable of transmitting electrical power between the first device and the second device.

[010] According to a third aspect of the invention, a system for treating a body is disclosed. The system comprises a first device configured to be implanted within the body. The first device includes a photoreceiver capable of receiving light. A second device is configured to be implanted within the body. An optical fiber is optically connected to the first device and the second device, and is configured to be implanted in the body and capable of transmitting light from the first device to the second device.

[011] According to a fourth aspect of the invention, a system for treating a body is disclosed. The system comprises a first device configured

to be implanted within the body, an encapsulation covering substantially all of the first device to seal the first device from bodily fluids, and an optical window associated with the first device and not covered by the encapsulation.

[012] According to a fifth aspect of the invention, a system for detecting neural signals from a brain of a body is disclosed. The system comprises a device sized and configured for implantation proximate the brain. The device includes an array of electrodes capable of sensing neural signals and at least one first optical fiber coupled to the device and capable of providing an optical communication with the device.

[013] According to a sixth aspect of the invention, a method for treating a body is disclosed. The method comprises: implanting a first device in the body, implanting at least a portion of an optical fiber in the body, optically connecting the first device to a first end of the optical fiber, optically connecting a second device to a second end of the optical fiber, transmitting power and data between the first device and the second device, and using the power and data to perform a therapeutic function for the body.

[014] According to a seventh aspect of the invention, a method for treating a body is disclosed. The method comprises: implanting a first device in the body, implanting at least a portion of an optical fiber in the body, implanting at least a portion of an electrical conductor in the body, optically connecting the first device to a first end of the optical fiber, optically connecting a second device to a second end of the optical fiber, electrically connecting the first device to a first end of the electrical conductor, electrically connecting the second device to a second end of the electrical conductor, transmitting data along the optical fiber between the first device and the second device, transmitting power along the electrical conductor between the first device and the second device, and using the power and data to perform a therapeutic function for the body.

[015] According to an eighth aspect of the invention, a method for treating a body is disclosed. The method comprises: implanting in the body a

first device having a photoreceiver, implanting a second device in the body, implanting an optical fiber in the body, optically connecting the first device to a first end of the optical fiber, optically connecting the second device to a second end of the optical fiber, transmitting light along the optical fiber between the first device and the second device, and using the light to perform a therapeutic function for the body.

[016] According to a ninth aspect of the invention, a method for treating a body is disclosed. The method comprises: implanting in the body a first device having an encapsulation covering substantially all of the first device to seal it from bodily fluids and having an optical window not covered by the encapsulation, implanting at least a portion of an optical fiber in the body, optically coupling the optical window to a first end of the optical fiber, optically connecting a second device to a second end of the optical fiber, transmitting at least one of light, power, and data along the optical fiber between the first device and the second device, and using the at least one of light, power, and data to perform a therapeutic function for the body.

[017] According to a tenth aspect of the invention, a method for detecting neural signals from a brain of a body is disclosed. The method comprises: providing a device that includes an array of electrodes, implanting the device proximate the brain, implanting at least a portion of a first optical fiber in the body, optically coupling a first end of the first optical fiber to the device, and sensing neural signals with the array of electrodes.

[018] Both the foregoing general description and the following detailed description are exemplary and are intended to provide further explanation of the embodiments of the invention as claimed.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

[019] The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate various embodiments of the present invention, and, together with the description, serve to explain the principles of the invention. In the drawings:

[020] Figure 1 shows a system 10 of implants and optical fibers implanted in a body 12, according to an exemplary embodiment consistent with the present invention;

[021] Figures 2A-2C illustrate various connectors for coupling an optical fiber 16 and an implant housing 20, according to an exemplary embodiment consistent with the present invention;

[022] Figures 3A and 3B illustrate an implant system 100 according to an exemplary embodiment consistent with the present invention;

[023] Figure 3C is a block diagram showing, in one exemplary embodiment consistent with the present invention, the circuit components of implant system 100;

[024] Figure 3D shows an exemplary arrangement, consistent with the present invention, for coupling a fiber optic cable to multiple photodiodes via a power splitter;

[025] Figure 3E illustrates a structure of a photodiode consistent with an exemplary embodiment of the present invention;

[026] Figure 3F illustrates a structure of a power splitter consistent with an exemplary embodiment of the present invention, while Figure 3G illustrates the optical splitting detail of the power splitter, and Figure 3H shows an exemplary prototype mask for forming the power splitter during a semiconductor manufacturing process;

[027] Figures 3I and 3J illustrate exemplary circuit diagrams of an amplifier 300 suitable for use in an implant system according to an embodiment of the invention, and Figure 3K shows the simulated performance of amplifier 300;

[028] Figure 3L illustrates an alternative arrangement for receiving power and other information signals over an optical cable, and Figure 3M illustrates a response characteristic of an arrangement illustrated by Figure 3L;



[029] Figures 4A and 4B further illustrate an implant system interfacing with a light source according to exemplary embodiments consistent with the invention;

[030] Figures 5A and 5B illustrate exemplary embodiments of an implant having structure for dispersing UV light, according to exemplary embodiments consistent with the invention; and

[031] Figure 6 shows an exemplary fiber optic cable according to an exemplary embodiment of the invention.

### **DESCRIPTION OF THE EMBODIMENTS**

[032] Reference will now be made in detail to the present embodiments of the invention, examples of which are illustrated in the accompanying drawings. Wherever possible, the same reference numbers will be used throughout the drawings to refer to the same or like parts.

[033] According to embodiments of the invention, one or more implants in a body may be connected with optical fibers for transmitting data and/or power to or from the implants. Connecting implants with optical fibers has numerous benefits, including, for example, avoiding the antenna effect caused by conventional electrical conductors. This is especially beneficial in when transmitting low amplitude signal data, as may be done when transmitting to devices implanted in the human body. Further, in comparison to conventional electrical conductors, optical fiber connections have improved long-term material compatibility and durability and permit simplified two-way communication.

[034] The optical fibers can be contained within the body and used to connect two or more implants. In addition, or alternatively, one or more optical fibers can enter a body transcutaneously to connect one or more implants to a module or device outside of the body. A system with multiple implants, as opposed to one implant having all the desired functionality, permits smaller implants that may be placed in tight spaces or locations within the body, such as the brain, and locations less accessible to light penetration.

A multiple implant system also permits smaller implants to connect to larger implants, where the larger implant may handle power supply, signal processing, or other functionality. This would thus allow the smaller implant to thus have a smaller size and, in turn, to be located in a desired particular area in the body. The larger implants may then be located in larger, more remote, volume areas within the body, such as the chest, abdomen, or thigh, for example.

[035] In one embodiment, optical fibers can connect multiple implants in a chain configuration. Such an arrangement permits a less complicated implant procedure and minimizes or eliminates signal loss. As an alternative, multiple implants can be connected individually to a central implant that may include larger components providing, for example, a power supply. Implants that may be used in systems according to embodiments of the invention include, for example, electrode assemblies, stimulators for the brain, muscles, organs, heart, or other parts of a body, signal processing devices such as spike sorters, encoders, decoders, processing algorithms, or the like, drug delivery devices, power supplies such as batteries, capacitors, or the like, cardiac pacing devices, pain control devices, transcutaneous electrical nerve stimulations (TENS) devices for controlling pain, magnetic therapy devices, radiation delivery devices, or any other therapeutic or diagnostic device useful in treating the body. An electrode assembly implant may be placed on or in the brain or nerve, or any location proximal thereto. In many applications, implants are miniaturized and have low power consumption, low heat output, and a long life.

[036] The one or more optical fibers can carry light representing a data stream, light to be converted to electrical or other energy (e.g., to power an implant), UV light for infection control, ultrasound, or other forms of energy compatible with optical fibers and useful for a particular system. For example, a single optical fiber can carry both power and data to or from an implant. A single optical fiber also can carry multiple wavelength light and/or can carry

two-way communication signals. The type of data that the fibers may carry can include neural signal information.

[037] The one or implants that connect to one or more optical fibers may include structure that may be used for, for example, a power source, data transmission, signal processing, telemetry to communicate with an external device, sensors (such as one or more electrode assemblies) for detecting signals or other data from a body, ultrasound data and/or power transmission, preventing or reducing infection within a body through the use of UV light, electrical stimulators, conversion of light to electrical power, or any other suitable function, including any therapeutic or diagnostic function in embodiments using implants within a body. A single implant may include structure for performing one or more of these functions. The electrical energy generated by an implant may be used, for example, to charge an electrical energy storage device, for example a battery or capacitor, of another implant.

[038] In certain embodiments, it may be preferred that the first implant, i.e. the implant that communicates with an external device, includes a transcutaneous photoreceiver that then sends light to one or more separate implants in the body. The first implant also may include a transceiver for wirelessly communicating with one or more external devices. To best serve these purposes, the first implant may be placed close to the skin allowing it to receive light from a source external to the body, and also may be placed in an area of the body that can accommodate a relatively larger implant. The separate implants in communication with the first implant may be placed deeper in the body in places less accessible to penetrating light, such as under bone. The separate implants can include other functionality, such as signal processing, power source, sensors such as electrode assemblies, conversion of light to another form of energy (e.g., electrical energy or power), conversion of light energy to data, and/or use of UV light to prevent/reduce infection.

[039] Figure 1 shows a system 10 of implants and optical fibers implanted in a body, according to an exemplary embodiment of the invention. System 10 includes a central implant 12 placed within the abdomen and connected to various implants 14 arranged throughout the body, and particularly in the arms, legs, and brain of the body. Implants 14 in the limbs may receive, for example, control signals for controlling motion of the limbs, and implant 14 in the brain may include sensing electrodes placed directly in contact with the brain to detect neuron activity. As described above, signal processing, preferably associated with one or more of the implants 12 or 14, may derive the control signals used by implants 14 in the limbs.

[040] Implants 12 and 14 are connected by optical fibers 16. As shown in Figure 1, one fiber 16 extends to the implant 14 in the brain, and a fiber 16 extends to the implants 14 in each limb. The implants 14 in each limb are arranged in a chain configuration. In addition, system 10 includes a transcutaneous fiber 18 that can couple implant 12 to an external device.

[041] Figures 4A and 4B show additional details of a system of implants according to embodiments of the invention. In the system of Figure 4A, a first, central implant 12' is implanted under the skin of a body 15. Implant 12' includes a photoreceiver 13 positioned to receive light from a light source 11 external to body 15. Light source 11 may be natural light (i.e. sunlight), other ambient light from sources near the body such as commercial lighting within a room, light from a UV source for infection control, an external light source connected to the body and powered by solar cells, batteries or other suitable power, or any other source of light capable of penetrating through skin. As an alternative, at least a portion of implant 12' may be transcutaneous or located external the body, such that a portion of photoreceiver 13 is located external to the skin, allowing, for example, implant 12' to then receive light directly. In either case, implant 12' receives light and sends light to one or more implants 14 arranged in a chain (Figure 4B),

parallel (Figure 4A), or a combination of these arrangements. Implants 14 may perform any of the functions described above.

[042] While Figures 4A and 4B illustrate powering an implant via a light source, implants may also be powered by an inductive coupling device, as well known in the art. In such as case, the implant may include an inductive coil. When an inductor external to the body under an applied AC voltage is placed in close proximity to the implant, an AC voltage is induced in the implant's coil. The induced voltage can then be used to power the implant.

[043] Further, as described above, optical fibers consistent with the present invention may be used to carry not only data, but other forms of energy (e.g., UV or ultrasound energy) for purposes other than conversion to electrical energy to power an implant. According to further embodiments of the invention, optical fibers may be used to carry data and other information to or from the implant, while electrical conductors (such as metal wires) may be used to carry electrical power to the implant. The optical fibers and electrical conductors may then run or track through the body separately, i.e. the two may be unbundled between the implants. Alternatively, one or more optical fibers may be combined with one or more electrical conductors in a cable-like configuration. In this respect, Figure 6 shows an exemplary cable 17 according to an embodiment of the invention. As shown in Figure 6, cable 17 includes an optical fiber 16 and two electrical conductors 19 arranged within a flexible jacket 15. Jacket 15 may have multiple shielding or insulating layers known to those skilled in the art. Further, optical fiber 16 may include an inner fiber surrounded by appropriate light reflective cladding material and potentially a protective jacket. Each electrical conductor 19 may include an inner wire surrounded by an insulator. Cable 17 may also include a grounding shield, for example, within jacket 15. Cables used in systems of the present invention may include any suitable number and type of optical fibers and electrical conductors desired for the intended purpose.

[044] Implant systems employing cables having an optical fiber for communicating data and an electrical conductor for communicating power, may thus overcome many of the disadvantages associated with transmitting signals over electrical conductors. For example, by using optical fibers 16 as shown in Figure 6, the data transmitted over the optical fibers are not susceptible to electrical interference from the electrical conductor 19 (e.g., via an “antenna effect”). Moreover, by using electrical conductors within the same cable, the invention allows for transmitting power more efficiently over the electrical conductor 19, where losses are not incurred due to a light to electrical energy conversion. Thus, the invention of Figure 6 allows for transmitting a low noise data signal over optical fiber 16 while also transmitting an electrical power signal within the same cable at high transmission efficiencies.

[045] A system of one or more implants, such as system 10 for example, can be pre-connected prior to implantation or may be connected intra-operatively (e.g., when being implanted within the body during surgery). The optical fibers (and/or cables or electrical conductors) may connect to one or more implants through any suitable method and structure. According to an aspect of the invention, all or substantially all of the implant may be sealed, i.e. be encapsulated, so that bodily fluids or other foreign matter does not enter the implant. Such a sealed implant may include an optical window for mating with the end of an optical fiber to transmit and/or receive data, information, energy, or the like.

[046] Figure 2A shows a snap-fit connection between an optical fiber 16 and an implant housing 20, according to an exemplary embodiment of the invention. An end of fiber 16 includes a snap connector 22 with resilient flanges 24. Fiber 16 extends through and is centered within connector 22. Housing 20 includes extensions 26 that define an opening 27 (see Figure 2B) that receives connector 22 into an area 28. The size of opening 27 and area 28 permit introduction of connector 22 so that its flanges 24 engage an interior

side of extensions 26 and restrict connector 22 and its connected fiber 16 from exiting area 28.

[047] Implant housing 20 also contains a transparent optic window 25 facing the end of fiber 16 to receive power, data, or other energy or information carried by fiber 16, or transmit energy or information to fiber 16. Window 25 may transmit the specific light used without requiring a pass through (i.e., a sealed opening between the implant's outside surface and its internal components that allows an electrical contact to be made to the internal components) or sealed exposed electrical contacts, both of which can cause contamination issues before, during, and after surgery. Window 25 may include a focusing lens, aperture, beam splitter, or other suitable optical components to aid in communicating data, information, or energy to or from fiber 16. Window 25 may connect to a port in housing 20 by any suitable sealing agent 29, such as glue, to fix window 25 in position relative to fiber 16.

[048] Other embodiments of a snap-fit connection may have optic window 25 free standing and not sealed within a port, as shown in Figure 2B. In this embodiment, the end of fiber 16 is recessed within the a receiving hole 23 at the distal end of connector 22. Hole 23 receives optic window 25 to mate window 25 with fiber 16, as shown in the bottom schematic of Figure 2B. The snap fit connections shown in Figures 2A and 2B may be especially convenient for simple attachment during surgery.

[049] Other structure and techniques for connecting one or more optical fibers to one or more implants may be used. For example, systems according to embodiments of the invention may use a suture-tab connection, as shown in Figure 2C. According to this embodiment, fiber 16 is received within a passage 31 of a suture lock connector 30. Connector 30 also includes a suture tab 32 defining a hole 33. An optic window 25 and a suture tab 34 extend from an exterior surface of implant housing 20. Optic window 25 is received within passage 31 to mate window 25 with fiber 16. Tab 34 is received within tab 32 of connector 30 to align a hole 35 of tab 34 with hole

33. As shown in the bottom drawing of Figure 2C, a suture 36 then may be placed intra-operatively within aligned holes 33 and 35 to secure the connection.

[050] Still other structures and techniques for connecting optical fibers with implants may be used in connection with systems of the invention. Those structures and techniques include screw-on connection with threaded connectors, pressure (friction) fit connectors, captured flange (i.e. bayonet lock) connectors, connectors that permanently attach, connectors that are detachable, or connectors that may have safety features allowing them to be more easily attached than detached (e.g. child-proof pill bottle thread configurations). The disclosed connections permit implants that are simpler to manufacture and do not require sealing during the surgical procedure, minimizing surgery time and risk to the patient.

[051] Further, the implant itself may be sealed during a manufacturing stage to protect it from bodily fluids after being implanted. For example, the complete implant assembly may be dipped in or sprayed with a sealing material, or seams may be welded, glued, or otherwise sealed. These various sealing methods may be thus be used to seal any openings of the implant and to insulate any of the implant's electrical contacts. As part of the manufacturing process, the implant can be tested for leaks or its seal integrity prior to packaging.

[052] Figures 3A and 3B show an implantable system 100 according to an embodiment of the invention particularly suited for measuring motor cortex activity in primates. System 100 is a combined array and signal processor with a fabricated custom integrated circuit (IC) having optical fiber input and output. System 100 includes a substrate 102 upon which a number of components are mounted and interconnected. Those components include a chip 104, an array 106 of probes 107, analog-to-digital converters 108 and 110, photodiodes 120, an LED 124, a clock photodiode 126, and a bypass capacitor 128. Reference electrodes 130 connect to and extend from



substrate 102. Optical fibers 114, 116, and 118 provide power and clock input to system 100 and optical fiber 132 carries return signals from system 100. These components and their interconnection will now be described in more detail.

[053] Substrate 102 may be made of  $\text{Al}_2\text{O}_3$ , GaAs, polyamide, or any other biocompatible material known in art that is suitable for implantation, mounting of components, and optical and electrical interconnection of those components. Substrate 102 may have a size of approximately 1.9 cm by 0.7 cm. With the components assembled onto substrate 102, assembly 100 may then have a depth of approximately 2.4 mm. Substrate 102 and the remainder of assembly 100 may be encapsulated by a suitable dielectric material 133, as shown in Figure 3B. Encapsulation material 133 seals all components together, with probes 107, optical fibers 114, 116, 118, and 132, and reference electrodes 130 extending from encapsulation 133.

[054] Array 106 may be a 10x10 of neural probes 107. Each neural probe 107 may comprise an electrode for detecting electrical brain signals or impulses. Array 106 may be placed in any location of a patient's brain allowing for array 106 to detect electrical brain signals or impulses. Electrode array 110 serves as the sensor for the brain implant system. While the Figures illustrate array 106 as having one hundred probes 107 arranged in an 10x10 matrix, array 106 may include one or more probes having a variety of sizes, lengths, shapes, forms, and arrangements. Each probe 107 extends into the brain to detect the electrical neural signals generated from the neurons located in proximity to the electrode's placement within the brain. Neurons may generate such signals when, for example, the brain instructs a particular limb to move in a particular way.

[055] U.S. Patent Application Serial No. 10/278,853 to Donoghue et al. and entitled "Microstructured Arrays for Cortex Interaction and Related Methods of Manufacture and Use" discloses arrays of probes and methods of their manufacture suitable for use in connection with systems according to

embodiments of this invention. The entire disclosure of that patent application is incorporated by reference herein. In addition, U.S. Patent No. 6,171,239 to Humphrey and entitled "Systems, Methods, and Devices for Controlling External Devices By Signals Derived Directly From the Nervous System" and U.S. Patent No. 5,215,088 to Normann et al. and entitled "Three-Dimensional Electrode Device" each disclose other arrays suitable for use in connection with systems according to embodiments of this invention. The entire disclosures of those patents are also incorporated by reference herein. Other arrays of probes capable of detecting electrical neural signals generated from the neurons may be used with systems according to embodiments of the invention.

[056] Chip 104 preferably is a fabricated custom IC. Figure 3C is a block diagram showing, in one exemplary embodiment, the components of chip 104 and their interconnection. As shown in Figure 3C, chip 104 may further include a clock extraction and timing circuit 152, 5-stage shift registers 154a and 154b, 10-stage shift registers 156a and 156b, 5:1 buffered analog multiplexors 158a and 158b, an output multiplexor 160, and a voltage regulator 162.

[057] Clock extraction circuit 152 receives a clock signal over fiber optic cable 118 and extracts a clock signal for controlling the timing of the various components included on chip 104, including shift registers 156 and 158, converters 108, 110, and multiplexor 160. For instance, under the control of the extracted clock signal, shift registers 156 may sequentially shift the input data detected by a row of probes 107 of array 106 to analog multiplexors 158. Thus, in the exemplary embodiment, each shift register 156 first shifts the data from the five probe inputs of the first row, then shifts the data from the five probe inputs of the second row, and so forth. Analog multiplexors 158 may then multiplex the five received input signals into a multiplexed analog output stream for input to analog-to-digital converters 108, 110. Further, as shown in Figure 3C, shift registers 154 may be used to

control the clocking of multiplexors 158 based on the clock signal received from extraction circuit 152.

[058] Analog-to-digital converters 108,110 may be any suitable low power analog-to digital (A/D) converter. In one exemplary embodiment, A/D converters 108, 110 may be implemented by using a 12 bit, 20 Kbs A/D converter. Converters 108,110 electrically connect to substrate 102 through a plurality of lead wires 140 bonded to converters 108, 110. Converters 108, 110 receive the multiplexed analog data from multiplexors 158 and digitize the analog signals. Converters 108, 110 then send the digitized data to output multiplexor 160, which multiplexes the two digital data streams from converters 108,110 for outputting to output optical fiber 132 via LED 124.

[059] Further, as shown in Figure 3C, voltage regulator 162 receives a power signal from optical fiber 114 via photodiodes 120. Based on the input power signal, regulator 162 then outputs a voltage power supply signal for powering the components of chip 104. For instance, as shown in Figure 3C, regulator 162 provides a power supply to converters 108, 110.

[060] Referring to Figures 3A and 3C, LED 124 of system 100 may be any LED known in the art that is suitable for receiving an electrical signal and providing that signal to an optical fiber. In the embodiment shown, LED 124 receives a signal from output multiplexor 160 and provides an output return signal to optical fiber 132.

[061] Clock photodiode 126 may be mounted directly to chip 104 and receive an optical input from optical fiber 118. Fiber 118 may branch from a single optical fiber that also branches to fibers 114 and 116 or may be an entirely separate fiber that individually communicates with an optical source. Fiber 118 provides a clock input to photodiode 126 that connects to clock extraction and timing circuit 152 of chip 104.

[062] A bypass capacitor 128 connects to voltage regulator 162. Capacitor 128 may, for example, provide fault protection, such as protection against an electrical short. Reference electrodes 130 connect to and extend

from substrate 102. Electrodes 130 may make electrical contact with the surrounding tissue of the body in which the system 100 is implanted and thus provide a voltage reference point or “ground” for chip 104.

[063] As described above, photodiodes 120 mount to substrate 102 and receive optical power input from optical fibers 114, 116. Two photodiodes are shown in the embodiment shown in Figures 3A-3C. However, any number and type of photodiodes suitable for converting optical power to an electrical voltage may be used in a system according to embodiments of the invention. For example, Figure 3D shows the use of three photodiodes 120 receiving optical power from a power splitter 142 also mounted to substrate 102. In an embodiment, photodiodes 120 are interconnected, as shown in Figure 3C, and send output to voltage regulator 162.

[064] Figures 3D, 3E, and 3F show details of a photodiodes 120 and power splitter 142, respectively, for use in a system 100 according to an embodiment of the invention. As shown in Figure 3D, optical fiber 117 may connect to power splitter via coupling 236. In one embodiment, coupling 236 may correspond to the mechanical connections shown in Figures 2A-2C. Photodiodes 120 and splitter 142 are designed such that photodiodes 120 produce an output of approximately 3.1 Volts and a current of greater than 3mA upon receiving an input optical signal. The input optical signal may have a wavelength of 850 nm and a power of about 15 mW. Figure 3D shows three photodiodes 120 producing approximately 1 Volt, which, when connected in series, may then produce approximately 3.1 Volts.

[065] As shown in Figure 3E, an embodiment of a photodiode 120 may include a plurality of layers, including core, cladding, n-type, p-type, absorptive, and intrinsic layers. A cladding layer 202 comprised of AlGa may be formed adjacent substrate 102 (having  $n=3.4$  and  $h=1\mu\text{m}$ ). The remainder of photodetector 120 may then proceed in the following adjacent layers: core layer 204 of AlGaAs (having  $n=3.54$  and  $h=0.4\mu\text{m}$ ), cladding layer 206 of AlGaAs (having  $n=3.5$  and  $h=0.25\mu\text{m}$ ), n-type layer 208 of AlGaAs (having

$n=3.4$  and  $h=0.1\mu\text{m}$ , and  $[n]=4\times 10^{18}/\text{cm}^3$ ), intrinsic layer 210 of AlGaAs (having  $n=3.4$  and  $h=0.02\mu\text{m}$ ), absorptive layer 212 of GaAs (having  $n=3.61$  and  $h=0.1\mu\text{m}$ ), intrinsic layer 214 of AlGaAs (having  $n=3.4$  and  $h=0.02\mu\text{m}$ ), p-type layer 216 of AlGaAs (having  $n=3.4$  and  $h=0.8\mu\text{m}$ , and  $[p]=1\times 10^{18}/\text{cm}^3$ ), and p-type layer 218 of GaAs (having  $n=3.61$  and  $h=0.03\mu\text{m}$ , and  $[p]=1\times 10^{19}/\text{cm}^3$ ). Photodiode 120 preferably has a width of  $6\mu\text{m}$ , a length of  $450\mu\text{m}$ , and a height of  $2.72\mu\text{m}$ .

[066] Photodiode 120 may be manufactured using any suitable semiconductor manufacturing techniques known in the art. For example, photodiode 120 may be manufactured using photolithography, wet etching, and contact deposition. A series of masks used to generate the structure may be designed using a CAD program. Etches sensitive to the aluminum content in AlGaAs may be used to allow individual layers to serve as etch stops as required.

[067] In an embodiment using photodiode 120 shown in Figure 3E, optical power enters from power splitter 142 through core layer 204 and is absorbed via evanescent power transfer in absorptive layer 212. Electrical contacts are then made to n-type and p-type layers 208, 216, and 218. A side contact is made to n-type layer 208. The total lateral resistance may be about 3 Ohms, generating a calculated resistive power loss of about 0.1% of the input power. Optical losses in the photovoltaic detector are simulated to be about 0.3% of the input power. Total power loss in detector 120 may thus be estimated to be about 0.4% of the input power.

[068] Figure 3F shows details of an embodiment of power splitter 142 for use in a system 100 according to an embodiment of the invention. Power splitter 142 includes a multi-mode interference planar based waveguide coupler 236. In one exemplary embodiment, power splitter 142 may include a plurality of layers, including a cladding layer 230 (having  $n=3.4$  and  $h=1\mu\text{m}$ ) adjacent substrate 102. Cladding layer is made of AlGaAs and is adjacent a core layer 232 made of AlGaAs (having  $n=3.54$  and  $h=0.4\mu\text{m}$ ), which, in turn,

is adjacent a cladding layer 234 made of AlGaAs (having  $n=3.5$  and  $h=0.25\mu\text{m}$ ). Power splitter 142 preferably has a width of  $40\mu\text{m}$ , a length of  $2.25\text{ mm}$ , and a height of  $1.65\mu\text{m}$ . Total power loss in power splitter 142 has been simulated to be about 4% of the input power. Optical power can enter power splitter 142 through an input waveguide 236 (see Figure 3D).

Waveguide 236, according to an embodiment, may be  $6\mu\text{m}$  by  $150\mu\text{m}$ .

Figure 3G illustrates the superior splitting detail of power splitter 142. Further, Figure 3H shows an exemplary prototype mask for forming power splitter 142 during a semiconductor manufacturing process.

[069] Figures 3I and 3J illustrate exemplary circuit diagrams of an amplifier 300 suitable for use in an implant system according to an embodiment of the invention, and particularly for system 100 shown in Figures 3A-3C. The amplifier shown and described in connection with these Figures is exemplary only and any other suitable amplifier may be used in implant systems according to embodiments of the invention. Amplifier 300 amplifies low amplitude signals, such as the neuron signals received from electrodes (e.g., probes 107) implanted near neurons of a brain. Amplifier 300 requires relatively low power and has relatively little noise. Further, for receiving neuron signals, amplifier 300 is preferably designed and selected to have a bandwidth of approximately 20 Hz to 10 kHz and a gain of about 800.

[070] With respect to Figure 3I, amplifier 300 may be based on folded cascode operation amplifier with a source follower output buffer. Amplifier 300 may include a feedback tee and a single pole source follower to provide a second order 7.5 kHz filter. Further, as shown in Figures 3J, amplifier 300 may use MOSFETs for resistors as they require less fabrication space on chip 104. Transistors M3 and M4 of Figure 3J are biased differently to provide linearity compensation. Transistor M18 is the source follower of amplifier 300, while transistors M2, M3, and M4 provide the feedback. Capacitors C1 and C2 define the two poles of the second-order filter, and biasing of transistor M1 can be shared between multiple amplifiers.

[071] Figure 3K shows the simulated performance of amplifier 300. As shown in Figure 3K, the feedback of amplifier 300 has a linear response. Further, because amplifier requires a low power and limited bandwidth in one application of system 100, amplifier 300 may thus require a  $4 \times 10^6$  Ohm equivalent input resistance. Amplifier 300 may satisfy such high resistance values, while requiring less fabrication space and thus a smaller overall size of chip 104.

[072] In the embodiments described above with respect to Figures 3A-3C, optical fibers 114, 116, 117, 118 provide optical input directly to photodiodes 120. These fibers may branch from a single optical fiber communicating with an optical source or they may be entirely separate fibers that each individually communicate with the optical source. The optical fibers used in systems according to embodiments of the invention may be any fiber having suitable optical characteristics, including many commercially available optical fibers. In embodiments in which optical fibers are implanted into a body, the portion of the fibers in contact with any portion of the body or body fluid should be biocompatible.

[073] For instance, Figure 3L illustrates an alternative arrangement for receiving power and other information signals over an optical cable. As shown in Figure 3L, a separate optical cable 117 may be coupled to a respective photodiode 120. While Figure 3L shows four such photodiodes, any number may be used. Each photodiode 120 is coupled to the optical cable via a respective waveguide 236, as described above with respect to Figure 3D, for example. In the exemplary embodiment of Figure 3L, three of photodiodes 120 receive a power supply signal (e.g., a continuous stream of 840 nm pulses) over optical cable 117 and are thus connected in series to produce a combined voltage signal. The fourth photodiode 120 may receive a clock signal (e.g., a continuous stream of 850 nm pulses) for then outputting to chip 104. Figure 3M illustrates a response characteristic of the arrangement illustrated by Figure 3L.

[074] According to embodiments of the invention, one or more implants may use UV light to prevent and/or reduce the likelihood of infection or may use a heat to provide a desired therapeutic effect (e.g., to increase cellular absorption of medicinal agent or drug). The heat may be converted from UV light provided to an implant via an optical fiber. Implants consistent with the invention may also employ direct photochemical conversion of the UV light into chemical neural triggers at a nerve cell region in the body where therapeutic action is desired. Such implants may be used in combination with one or more other implants that serve various other therapeutic or diagnostic functions.

[075] For embodiments consistent with the invention that may use UV light to prevent and/or reduce the likelihood of infection, the UV light may be transmitted to a region within the body requiring treatment. Such a region may be where a malignancy was removed. By applying the UV light to these regions, the UV light could kill the cells in that region to prevent a recurrence of the malignancy.

[076] As noted above, implants consistent with the present invention may also include magnetic therapy devices. When nanoscale magnetic particles are imbedded in the body near nerve cells (e.g., in the brain or elsewhere in the body), they generate electromagnetic impulses when the neural cells fire. These impulses can then be detected by the magnetic nanoparticles acting as a type of receiver. The nanoparticles, in turn, transmit these impulses to a magnetic receiver located external to the body, thus providing real-time diagnostics at the cellular level.

[077] As described above, in the system 10 of Figure 1, central implant 12 may serve as a UV source for one or more other implants 14 placed within the body and connected to UV source implant 12 through optical fibers 16. As shown in Figure 1, certain implants 14 may connect directly to implant 12, while other implants 14 may connect to implant 12 through another implant 14 in a chain configuration. Optical fibers may couple to



implants 14 through any suitable means, including those described herein, such as snap connections, suture connections, and screw connections. While the only implant configuration of Figure 1 having a single implant within the chain is for the implant in the brain, a single implant 14 may also be directly coupled to implant 12 at any location within the body.

[078] System 10 may include additional implants 12 to serve as additional UV sources for delivering UV light to the implants 14. All components of system 10 may be implanted. Alternatively, system 10 can include one or more transcutaneous optical fibers 18 that may connect to implant 12 to provide UV light that implant 12 disperses to the various implants 14. As a further alternative, one or more transcutaneous fibers can connect directly to implants 14 for delivery of UV light from an external source.

[079] Each implant may include structure to disperse UV light to adjacent tissue in the body to prevent or reduce possible infection. According to an embodiment shown in Figures 5A and 5B, an implant 1014 may be disc-shaped with one or more diffusing rings 1010 that disperse UV light. Although shown as disc-shaped, the implant may have any shape for fitting in a desired location within the body and may include any suitable shaped diffusion element for targeting the dispersement of UV light to tissue of interest. The UV light can be continuous or pulse width modulated. The UV light could also be provided at, for example, a desired, predetermined amount of time each day. Diffusing rings 1010 may use a light scattering agent, such as titanium dioxide ( $\text{TiO}_2$ ). The agent may be mixed in a transparent elastomer that is optically coupled with the optical fiber containing the UV light.

[080] In embodiments using heat to provide a therapeutic effect, the implant may have any desired shape, such as a disc, and may have any number and shape of diffusion elements for dispersing heat. To disperse the heat, light can be sent to an assembly having an agent absorbing a predetermined wavelength of light (e.g., water absorbing light having a wavelength of 980 nm). The applied light heats the agent, which is then

located in close proximity to the region to be treated by the implant. The implant assembly may include an opaque cover to prevent the escape of light. The implant itself may include suitable structure for converting light to electrical energy/heat or may be connected to any number of implants to serve those purposes.

[081] Other embodiments of the invention will be apparent to those skilled in the art from consideration of the specification and practice of the invention disclosed herein. It is intended that the specification and examples be considered as exemplary only, with a true scope and spirit of the invention being indicated by the following claims.

**WHAT IS CLAIMED IS:**

1. A system for treating a body, comprising:  
a first device configured to be implanted within the body;  
a second device; and  
an optical fiber optically connected to the first device and the second device, configured to be at least partially implanted in the body, and capable of transmitting power and data between the first device and the second device.
2. The system of claim 1, wherein the second device is configured to be external the body and the optical fiber is a transcutaneous optical fiber.
3. The system of claim 1, wherein the second device is configured to be implanted within the body and all of the optical fiber is configured to be implanted in the body.
4. The system of claim 1, further comprising:  
a third device implanted in the body; and  
a second optical fiber optically connected to the first device and the third device and capable of transmitting at least one of power and data between the first device and the third device.
5. The system of claim 4, wherein the first device includes a photoreceiver capable of receiving light from a source external the body.
6. The system of claim 1, further comprising:  
a third device implanted in the body; and  
a second optical fiber optically connected to the second device and the third device and capable of transmitting at least one of power and data between the second device and the third device.
7. The system of claim 1, wherein the first device includes a stimulator for stimulating a part of the body proximate the second device.
8. The system of claim 1, wherein the first device includes a signal processor.

9. The system of claim 1, wherein the first device is capable of delivering a drug to the body.

10. The system of claim 1, wherein the first device is capable of performing a therapeutic function for the body.

11. The system of claim 1, wherein the first device includes a photoreceiver for receiving UV light from a source external the body and the second device includes a portion for delivery of UV light to the body.

12. The system of claim 1, wherein the first device is an electrode array configured to be implanted proximate the brain to detect neural signals.

13. A system for treating a body, comprising:

a first device configured to be implanted within the body;

a second device;

an optical fiber optically connected to the first device and the second device, configured to be at least partially implanted in the body, and capable of transmitting data between the first device and the second device; and

an electrical conductor connected to the first device and the second device, configured to be at least partially implanted in the body, and capable of transmitting electrical power between the first device and the second device.

14. The system of claim 13, further comprising a cable that includes the optical fiber and the electrical conductor.

15. A system for treating a body, comprising:

a first device configured to be implanted within the body, the first device including a photoreceiver capable of receiving light;

a second device configured to be implanted within the body; and

an optical fiber optically connected to the first device and the second device, configured to be at least partially implanted in the body, and capable of transmitting light from the first device to the second device.

16. The system of claim 15, wherein the second device includes a portion for delivery of UV light to the body.

17. The system of claim 16, wherein the second device is ring-shaped.

18. The system of claim 15, further comprising:  
a third device implanted in the body; and  
a second optical fiber optically connected to the first device and the third device and capable of transmitting light between the first device and the third device.

19. The system of claim 15, further comprising:  
a third device implanted in the body; and  
a second optical fiber optically connected to the second device and the third device and capable of transmitting light between the second device and the third device.

20. The system of claim 15, wherein the first device is configured to be implanted closer to the skin of the body than the second device.

21. The system of claim 20, wherein the second device is sized and configured for implantation in a portion of the body substantially inaccessible to receipt of light directly from a source external the body.

22. A system for treating a body, comprising:  
a first device configured to be implanted within the body,  
an encapsulation covering substantially all of the first device to seal the first device from bodily fluids; and  
an optical window associated with the first device and not covered by the encapsulation.

23. The system of claim 22, further comprising an optical fiber configured for optical coupling to the optical window of the first device.

24. The system of claim 22, further comprising a connector associated with an end of the optical fiber, the connector having a portion to receive the optical window and align the optical window with the end of the optical fiber.

25. The system of claim 24, wherein the connector includes at least one flange to engage a portion of the device and restrict removal of the connector from the device after engagement of the flange and the portion.

26. The system of claim 24, wherein the connector is configured to attach to the device with a suture.

27. The system of claim 22, further comprising a connector associated with an end of the optical fiber, the connector configured to mate with a corresponding portion of the device to align the optical window with the end of the optical fiber.

28. The system of claim 22, wherein the first device includes an array of electrodes capable of sensing neural signals.

29. The system of claim 28, further comprising:  
an optical fiber configured for optical coupling to the optical window of the first device; and

a second device configured for optical coupling to the optical fiber for transmission of at least one of data and power between the first device and the second device.

30. A system for detecting neural signals from a brain of a body, comprising:

a device sized and configured for implantation proximate the brain, the device including an array of electrodes capable of sensing neural signals; and  
at least one first optical fiber coupled to the device and capable of providing an optical communication with the device.

31. The system of claim 30, wherein the at least one first optical fiber is capable of providing an optical input to the device, and further comprising at least one second optical fiber coupled to the device and capable of transmitting an optical output from the device.

32. The system of claim 31, wherein the device includes an LED coupled to the at least one second optical fiber.

33. The system of claim 30, wherein the device includes at least one photodiode coupled to the at least one first optical fiber and capable of receiving optical power input from the at least one first optical fiber.

34. The system of claim 33, wherein the at least one photodiode includes two photodiodes.

35. The system of claim 34, further comprising a power splitter between the two photodiodes and the at least one first optical fiber.

36. The system of claim 33, wherein the at least one photodiode includes a core layer for receiving optical power, a cladding layer to absorb the received optical power, and n-type and p-type layers electrically connected to the device.

37. The system of claim 30, further comprising a clock photodiode connected to a timing circuit coupled to the array, wherein the at least one first optical fiber is capable of providing a clock input to the clock photodiode.

38. The system of claim 30, wherein the device further comprises an amplifier.

39. The system of claim 38, wherein the amplifier receives the sensed neural signals and amplifies the sensed neural signals falling within the bandwidth 20 Hz to 10 kHz.

40. The system of claim 30, wherein the device communicates over the optical fiber in a time-multiplexed format, and wherein the multiplexed information represents time multiplexed power signals and data signals.

41. A method for treating a body, comprising:  
implanting a first device in the body;  
implanting at least a portion of an optical fiber in the body;  
optically connecting the first device to a first end of the optical fiber;  
optically connecting a second device to a second end of the optical fiber;  
transmitting power and data between the first device and the second device; and

using the power and data to perform a therapeutic function for the body.

42. The method of claim 41, wherein implanting at least a portion of the optical fiber includes implanting all of the optical fiber in the body, and further comprising the step of implanting the second device in the body.

43. The method of claim 41, wherein implanting at least a portion of the optical fiber includes implanting the optical fiber transcutaneously, and wherein the second device is external the body.

44. The method of claim 41, further comprising:  
implanting a third device in the body;  
implanting a second optical fiber in the body;  
optically connecting a first end of the second optical fiber to the first device and a second end of the second optical fiber to the third device; and  
transmitting at least one of power and data between the first device and the third device.

45. The method of claim 44, wherein a photoreceiver of the first device receives light from a source external the body.

46. The method of claim 41, further comprising:  
implanting a third device in the body;  
implanting a second optical fiber in the body;  
optically connecting a first end of the second optical fiber to the second device and a second end of the second optical fiber to the third device; and  
transmitting at least one of power and data between the second device and the third device.

47. The method of claim 41, further comprising stimulating a part of the body proximate the first device.

48. The method of claim 41, further comprising using the first device to process a signal.

49. The method of claim 41, further comprising delivering a drug to a part of the body proximate the first device.



50. The method of claim 41, wherein a photoreceiver of the first device receives UV light from a source external the body, and further comprising delivering UV light to the body from the second device.

51. The method of claim 41, wherein the first device is an array of electrodes, and further comprising detecting neural signals with the electrode array.

52. A method for treating a body, comprising:  
implanting a first device in the body;  
implanting at least a portion of an optical fiber in the body;  
implanting at least a portion of an electrical conductor in the body;  
optically connecting the first device to a first end of the optical fiber;  
optically connecting a second device to a second end of the optical fiber;  
electrically connecting the first device to a first end of the electrical conductor;  
electrically connecting the second device to a second end of the electrical conductor;  
transmitting data along the optical fiber between the first device and the second device;  
transmitting power along the electrical conductor between the first device and the second device; and  
using the power and data to perform a therapeutic function for the body.

53. The method of claim 52, wherein implanting at least a portion of the optical fiber includes implanting all of the optical fiber in the body, implanting at least a portion of the electrical conductor includes implanting all of the electrical conductor in the body, and further comprising the step of implanting the second device in the body.

54. The method of claim 52, wherein implanting at least a portion of the optical fiber includes implanting the optical fiber transcutaneously,

implanting at least a portion of the electrical conductor includes implanting the electrical conductor transcutaneously, and the second device is external the body.

55. The method of claim 52, wherein a photoreceiver of the first device receives light from a source external the body.

56. The method of claim 52, further comprising stimulating a part of the body proximate the first device.

57. The method of claim 52, further comprising using the first device to process a signal.

58. The method of claim 52, further comprising delivering a drug to a part of the body proximate the first device.

59. The method of claim 52, wherein the first device is an array of electrodes, and further comprising detecting neural signals with the electrode array.

60. A method for treating a body, comprising:  
implanting a first device in the body, the first device including a photoreceiver;  
implanting a second device in the body;  
implanting an optical fiber in the body;  
optically connecting the first device to a first end of the optical fiber;  
optically connecting the second device to a second end of the optical fiber;  
transmitting light along the optical fiber between the first device and the second device; and  
using the light to perform a therapeutic function for the body.

61. The method of claim 60, further comprising delivering UV light from the second device to the body.

62. The method of claim 60, further comprising:  
implanting a third device in the body;  
implanting a second optical fiber in the body;

optically connecting a first end of the second optical fiber to the first device and a second end of the second optical fiber to the third device; and transmitting light along the second optical fiber between the first device and the third device

63. The method of claim 60, further comprising:

implanting a third device in the body;

implanting a second optical fiber in the body;

optically connecting a first end of the second optical fiber to the second device and a second end of the second optical fiber to the third device; and transmitting light along the second optical fiber between the second device and the third device.

64. The method of claim 60, wherein implanting the first device and implanting the second device include implanting the first device in the body closer to the skin of the body than the second device.

65. The method of claim 64, wherein implanting the second device includes implanting the second device in a portion of the body substantially inaccessible to receipt of light directly from a source external the body.

66. The method of claim 64, wherein the first device is implanted proximate one of the chest cavity, abdomen, or thigh of the body and the second device is implanted proximate the brain of the body.

67. A method for treating a body, comprising:

implanting a first device in the body, the first device including an encapsulation covering substantially all of the first device to seal the first device from bodily fluids and an optical window not covered by the encapsulation;

implanting at least a portion of an optical fiber in the body;

optically coupling the optical window to a first end of the optical fiber;

optically connecting a second device to a second end of the optical fiber;

transmitting at least one of light, power, and data along the optical fiber between the first device and the second device; and

using the at least one of light, power, and data to perform a therapeutic function for the body.

68. The method of claim 67, wherein optically coupling the optical window to the first end includes having a connector associated with the first end of the optical fiber receive the optical window.

69. The method of claim 67, wherein optically coupling the optical window to the first end includes applying a suture to a connector associated with the first end and to the first device.

70. The method of claim 67, wherein the first device includes an array of electrodes, and further comprising sensing neural signals with the electrodes.

71. The method of claim 70, wherein the second device receives information relating to the sensed neural signals.

72. The method of claim 71, wherein the second device uses the received information to stimulate a part of the body proximate the second device.

73. The method of claim 71, wherein the second device uses the received information to deliver a drug to the body.

74. The method of claim 67, wherein optically coupling the optical window to the first end of the optical fiber is performed intra-operatively.

75. A method for detecting neural signals from a brain of a body, comprising:

providing a device that includes an array of electrodes;

implanting the device proximate the brain;

implanting at least a portion of a first optical fiber in the body;

optically coupling a first end of the first optical fiber to the device; and sensing neural signals with the array of electrodes.

76. The method of claim 75, further comprising:

implanting a second device in the body; and  
transmitting information relating to the sensed neural signals along the optical fiber to the second device.

77. The method of claim 76, wherein the second device uses the transmitted information to stimulate a part of the body proximate the second device.

78. The method of claim 76, wherein the second device uses the transmitted information to deliver a drug to the body.

79. The method of claim 75, wherein optically coupling the first end of the optical fiber to the first device is performed intra-operatively.

80. The method of claim 75, further comprising providing an optical input from the first optical fiber to the device.

81. The method of claim 80, wherein the device includes a photodiode connected to a timing circuit coupled to the array, and the optical input includes a clock input provided to the photodiode.

82. The method of claim 80, wherein the device includes a photodiode, and the optical input includes optical power provided to the photodiode.

83. The method of claim 75, the method further comprising amplifying the sensed neural signals falling within the bandwidth 20 Hz to 10 kHz.

84. The method of claim 75, further comprising communicating over the optical fiber in a time-multiplexed format, and wherein the multiplexed information represents time multiplexed power signals and data signals.

85. The method of claim 75, further comprising:  
implanting a second device in the body;  
implanting a third device in the body;  
transmitting first information relating to the sensed neural signals along the optical fiber to the second device; and

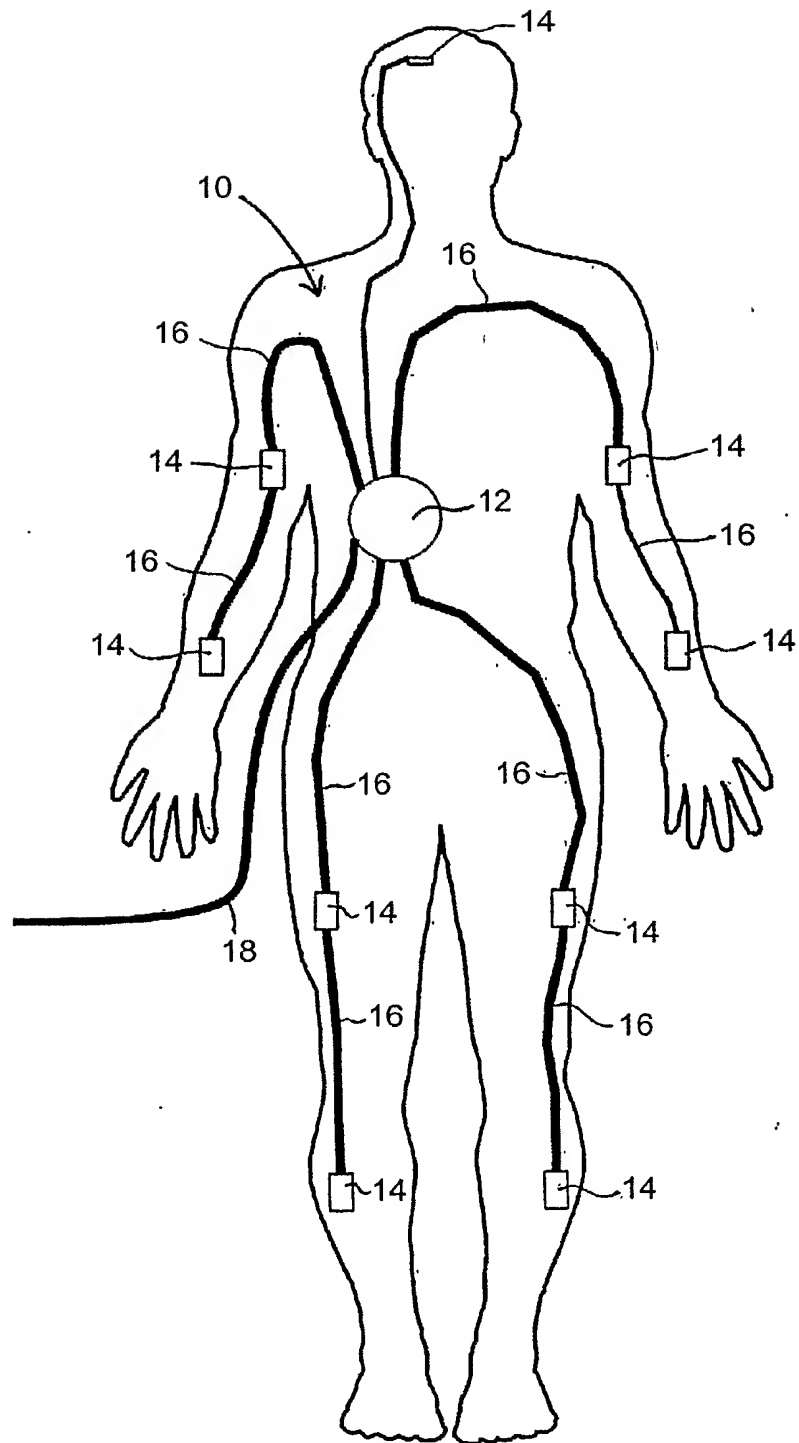
transmitting second information relating to the sensed neural signals along a second optical fiber from the second device to the third device.

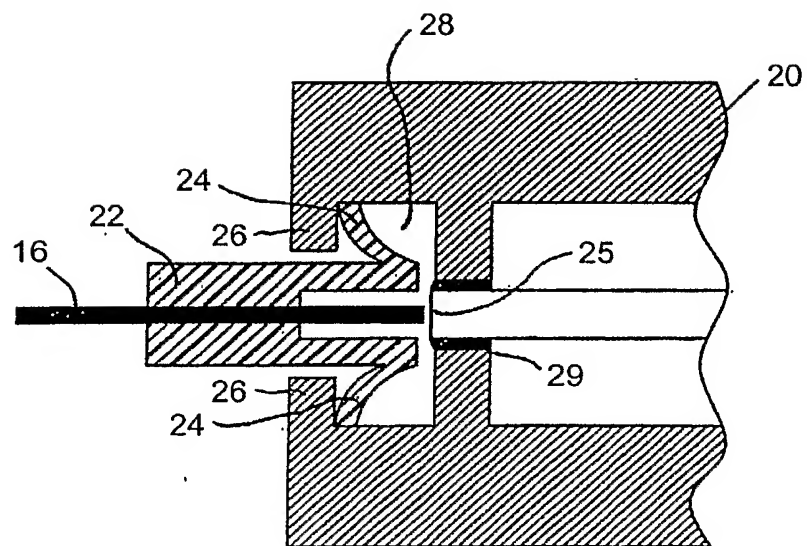
86. The method of claim 85, wherein the third device uses the transmitted information to provide a therapeutic function to the body.

87. The method of claim 86, wherein the therapeutic function includes stimulating a part of the body proximate the third device.

88. The method of claim 86, wherein the therapeutic function includes delivering a drug to the body.

89. The method of claim 85, wherein the second device processes the first information to obtain the second information.

**Figure 1**

**Figure 2A**



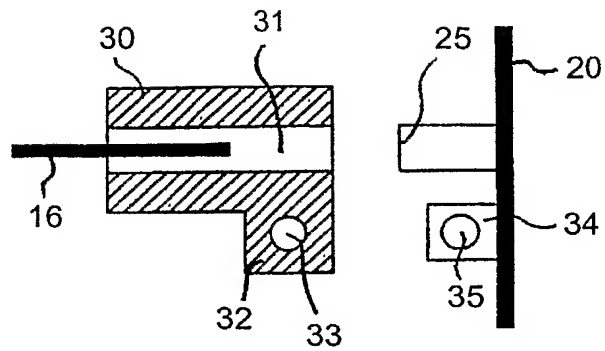


Figure 2C

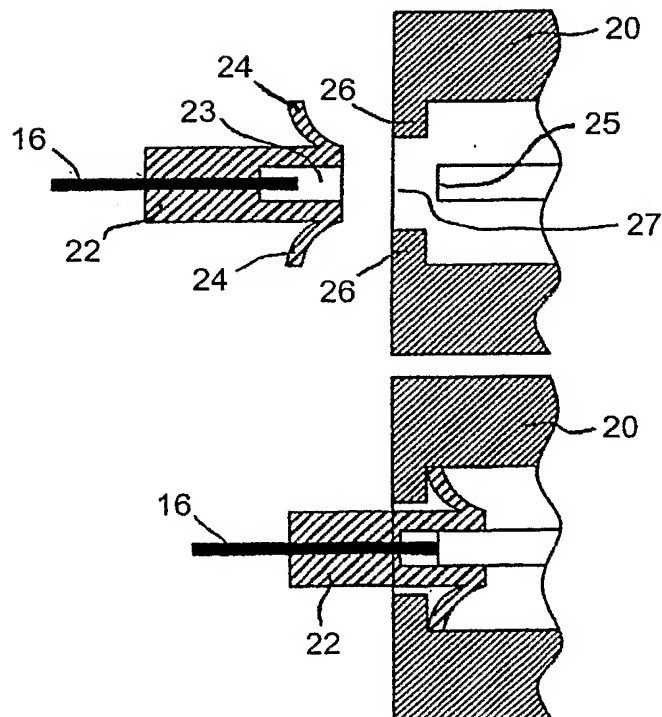
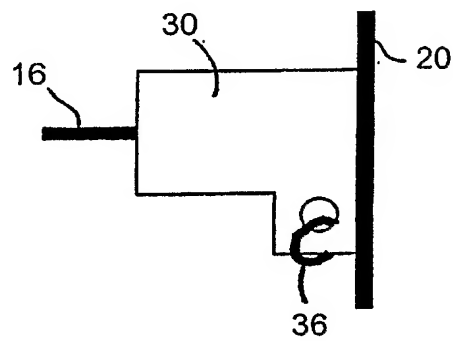
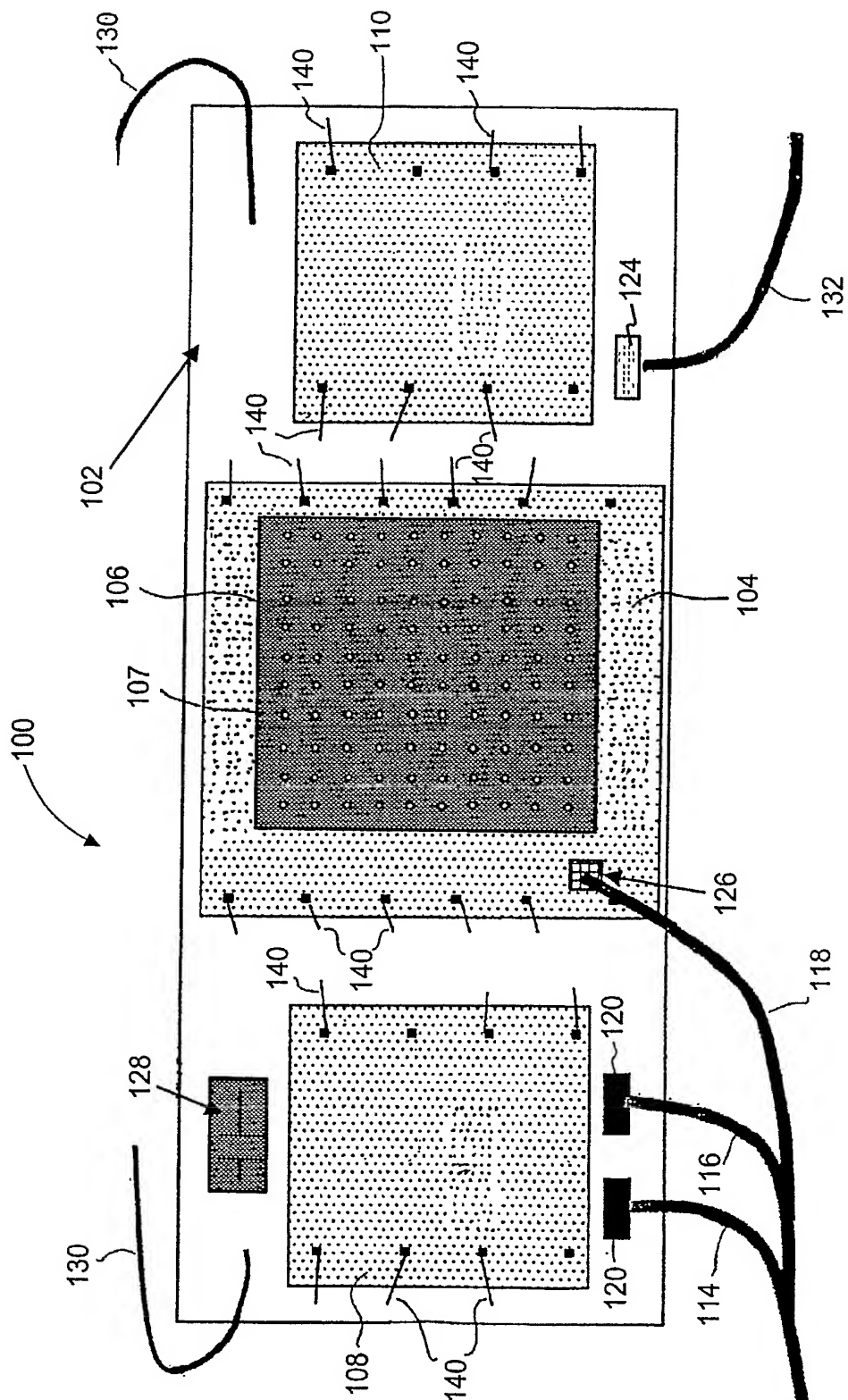
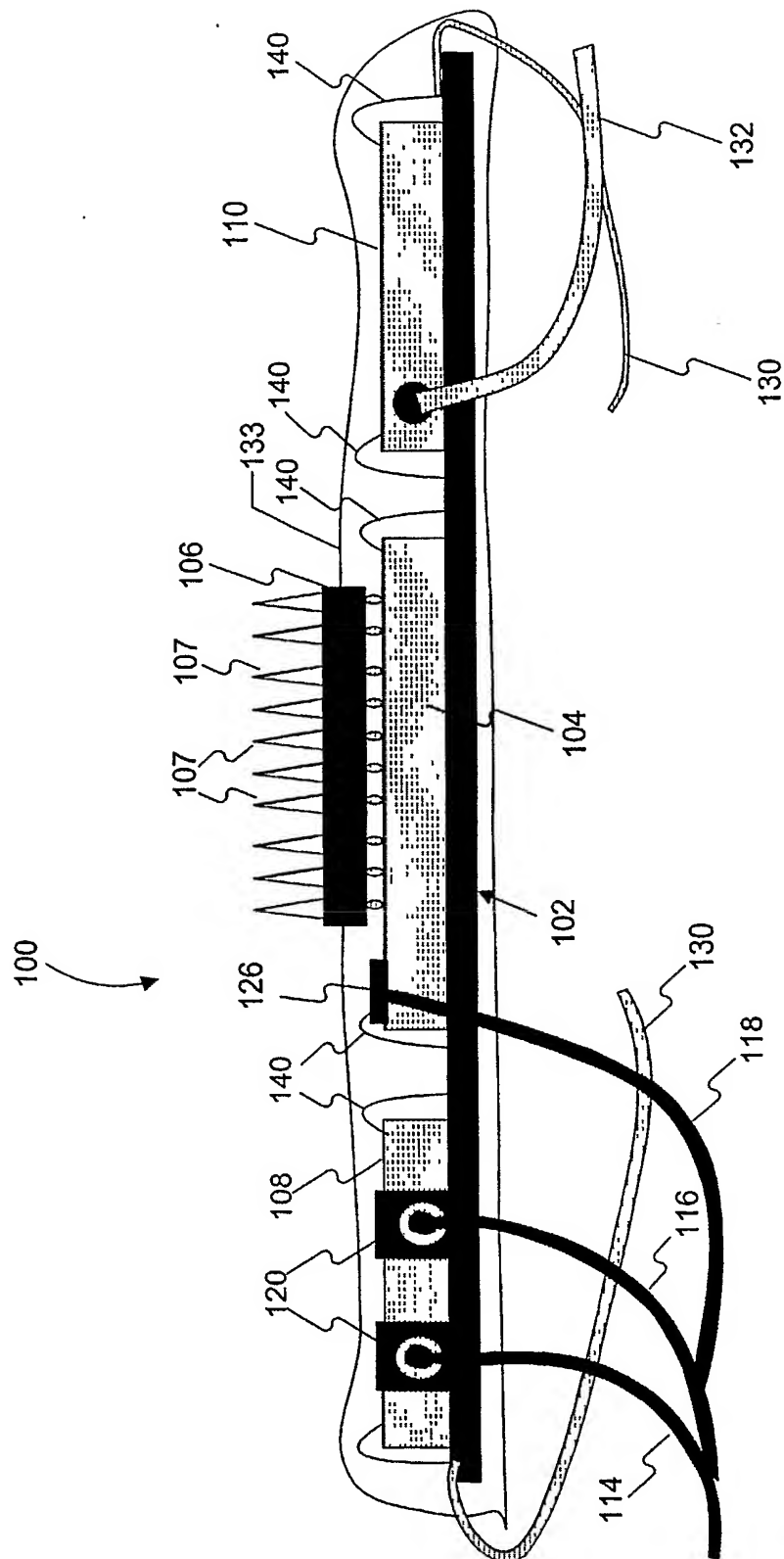


Figure 2B



### Figure 3A



**Figure 3B**

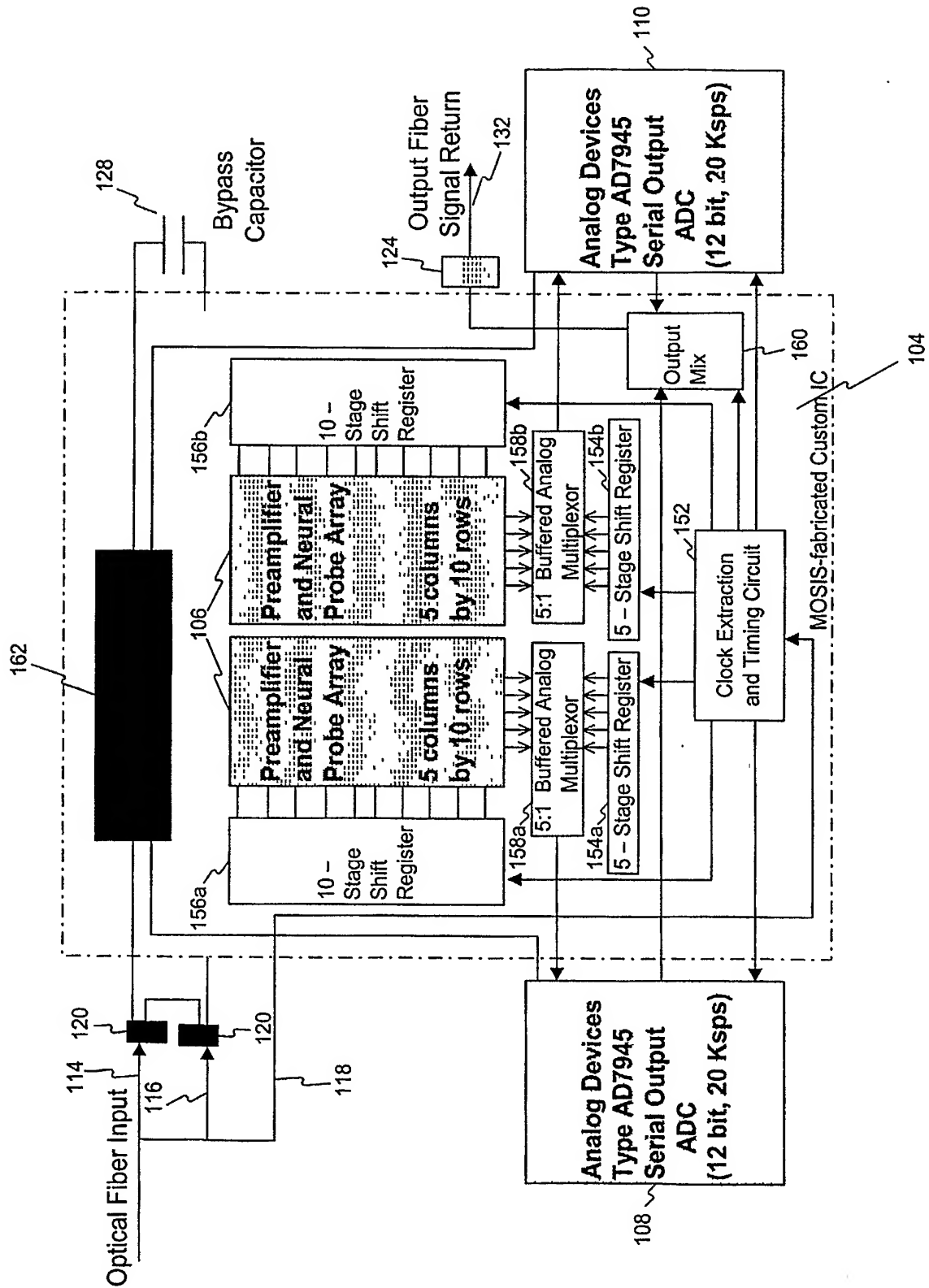


Figure 3C

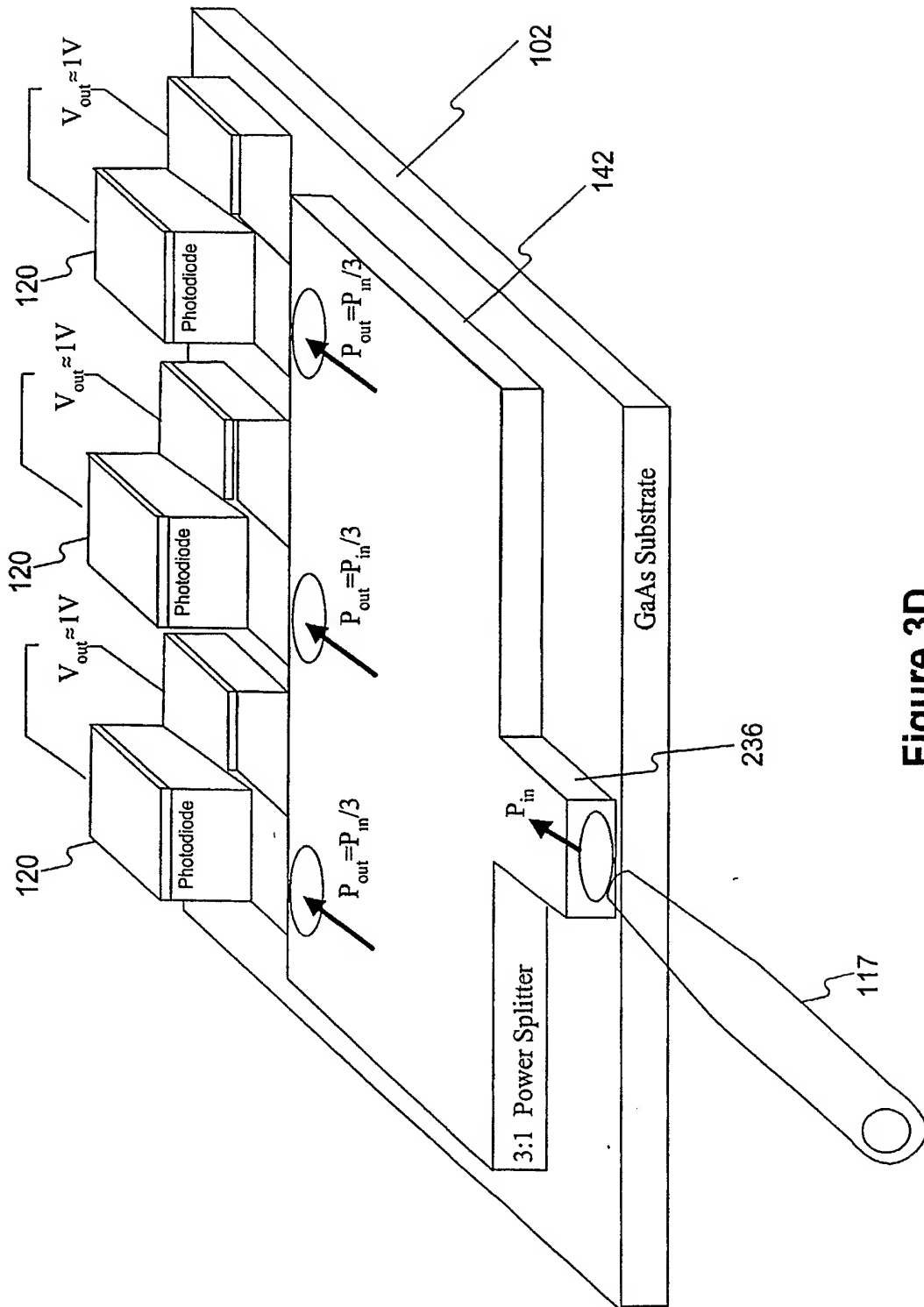


Figure 3D

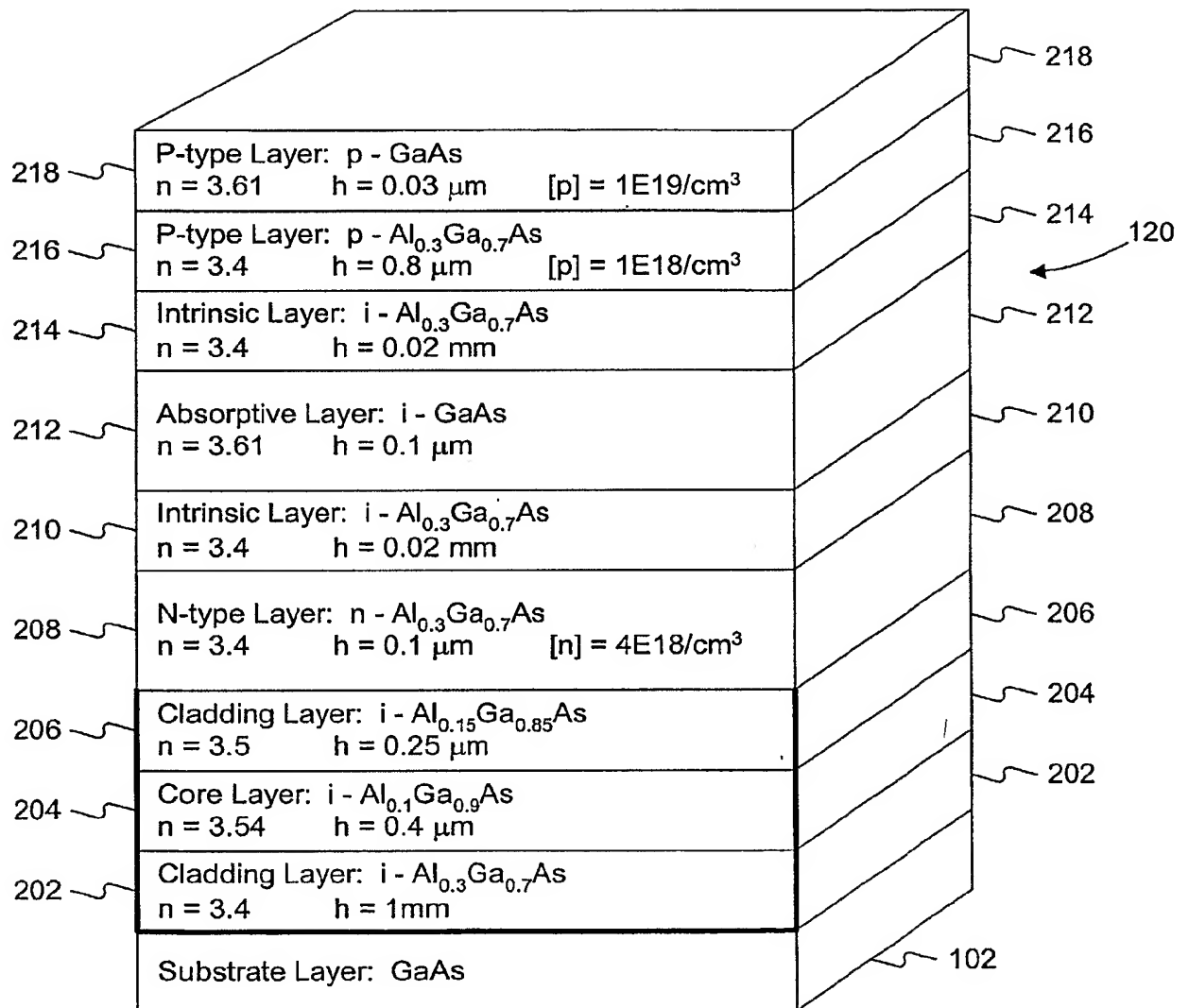


Figure 3E

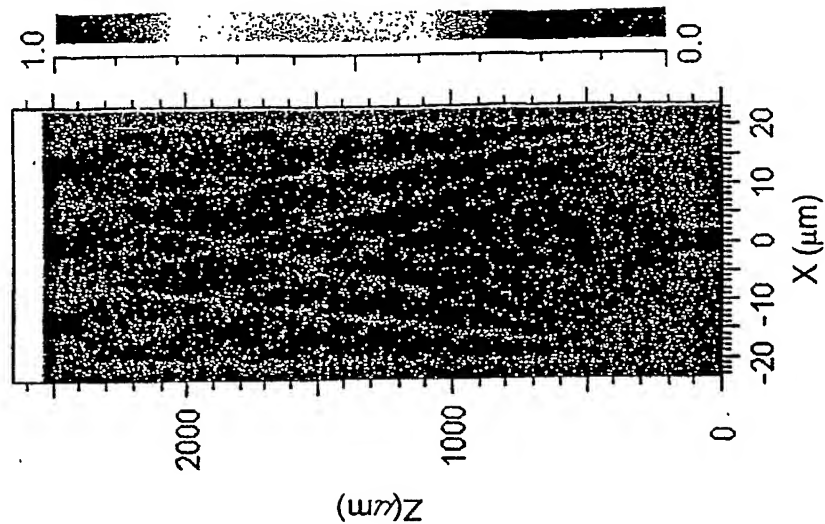


Figure 3G

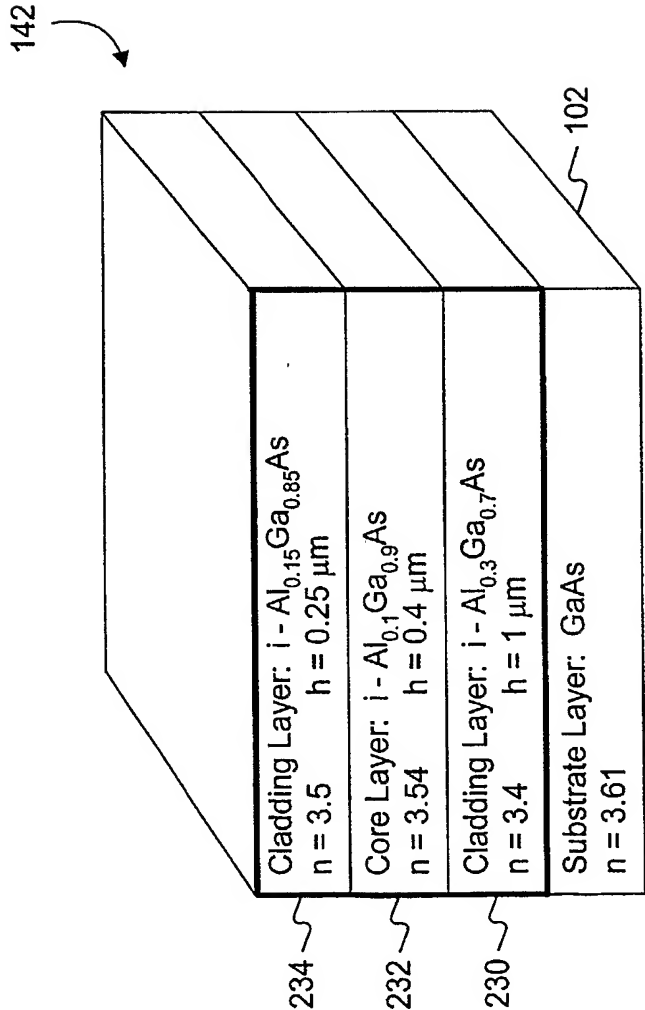
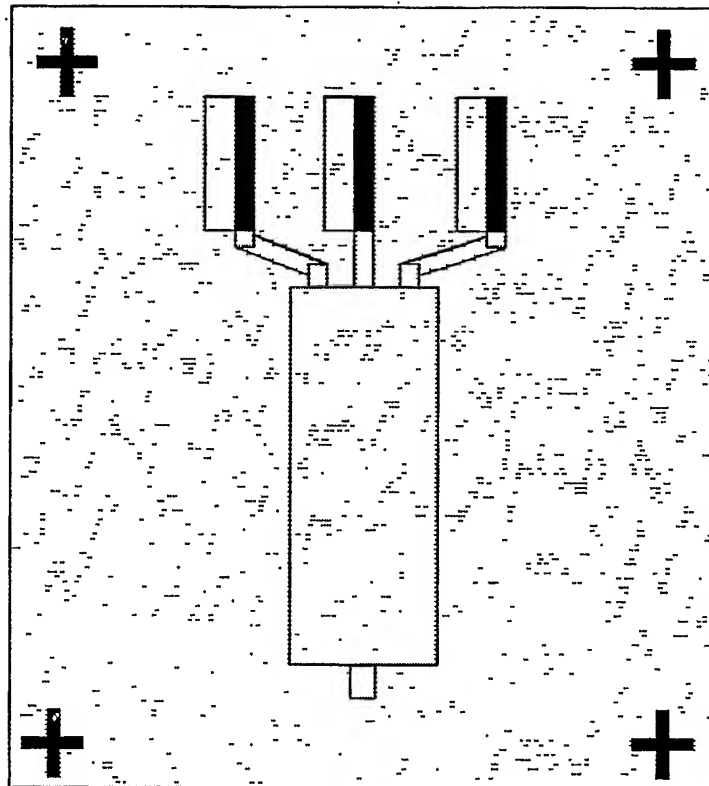


Figure 3F



**Figure 3H**



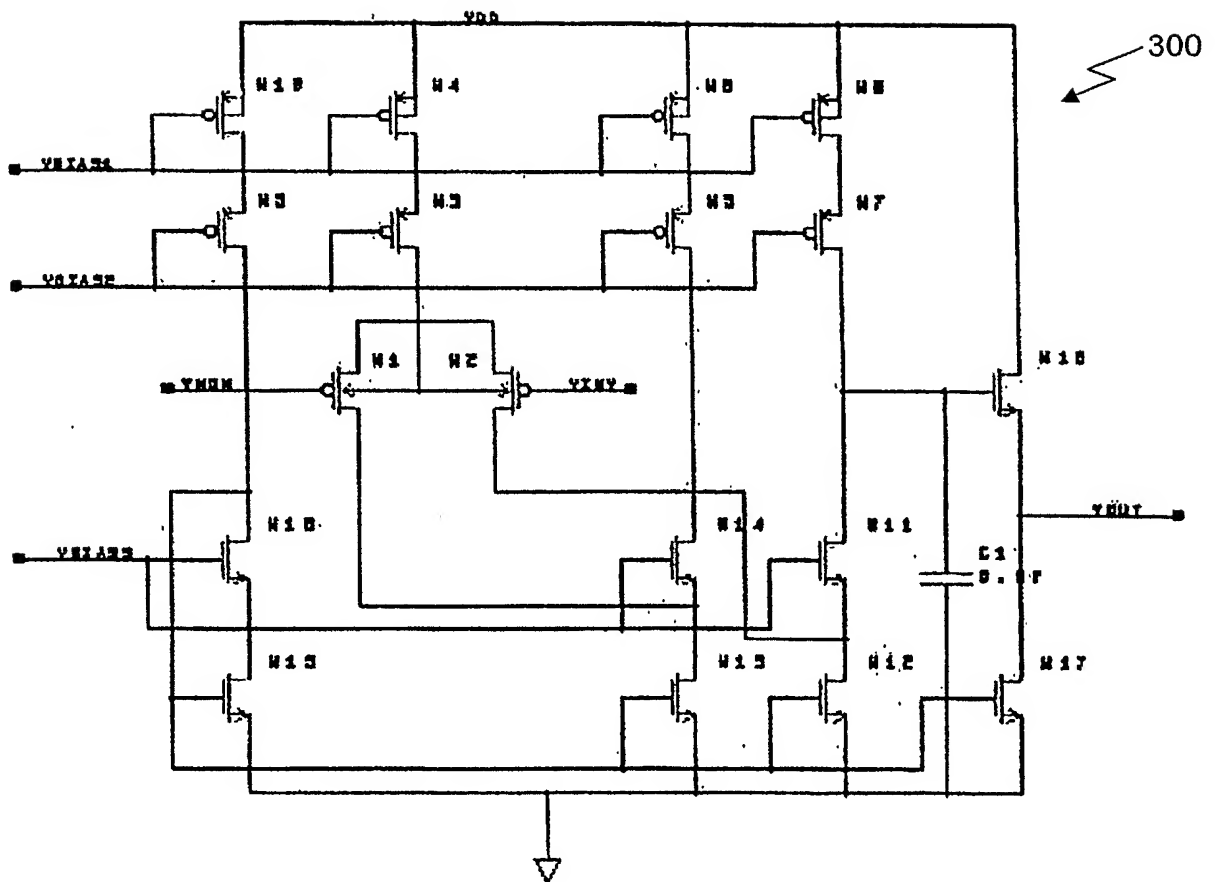


Figure 3I

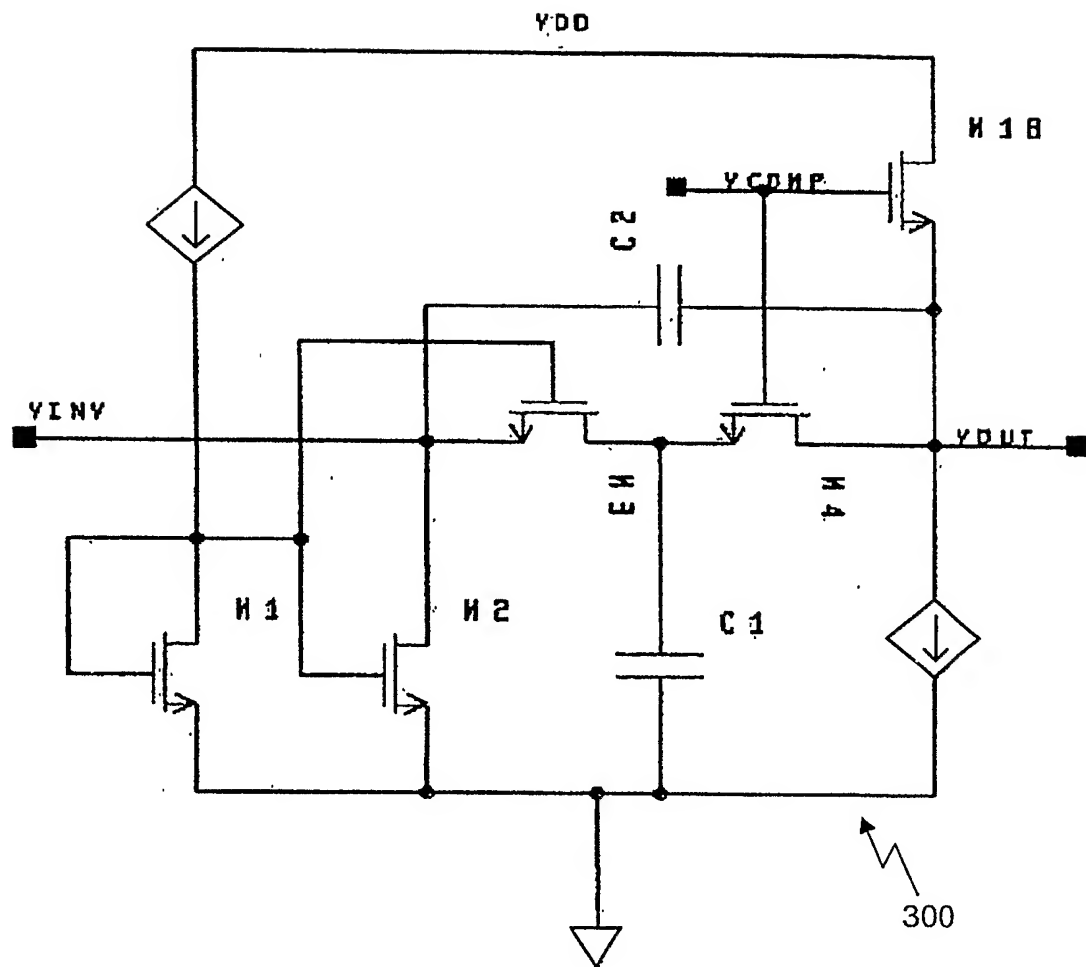


Figure 3J

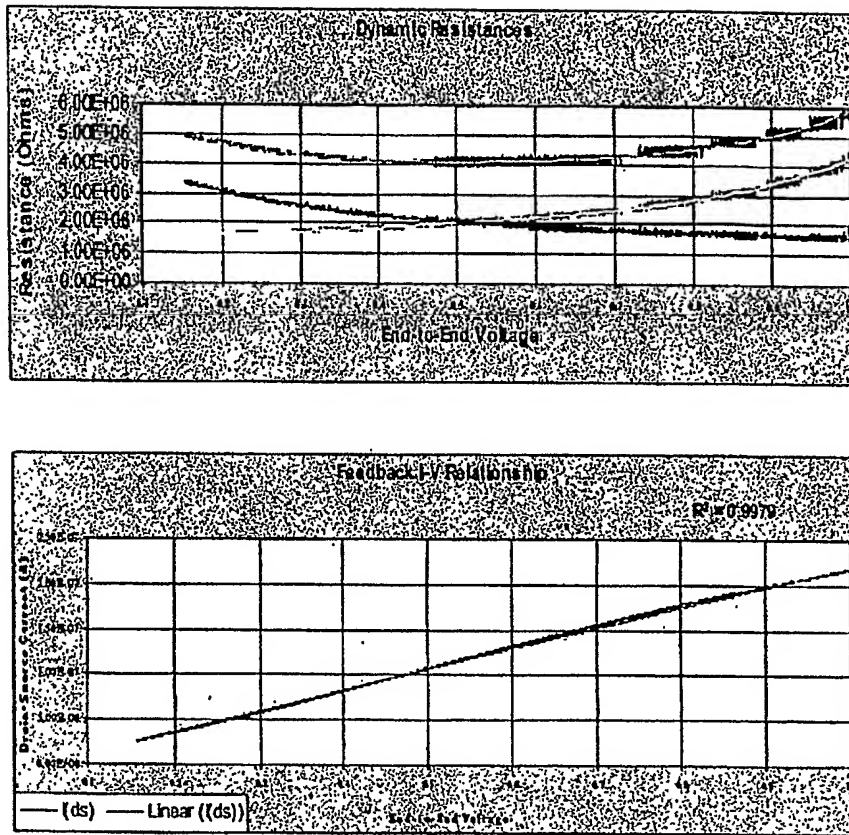


Figure 3K

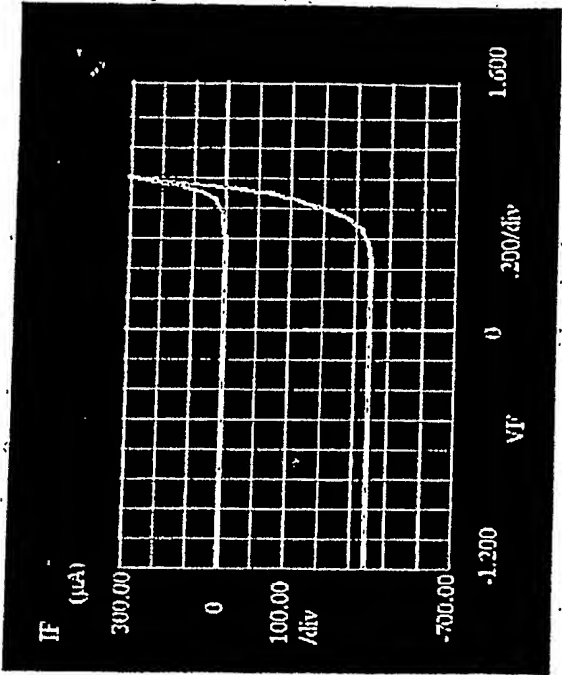


Figure 3M

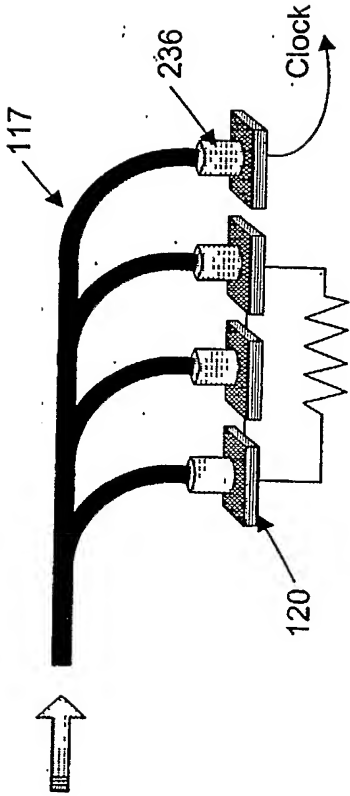
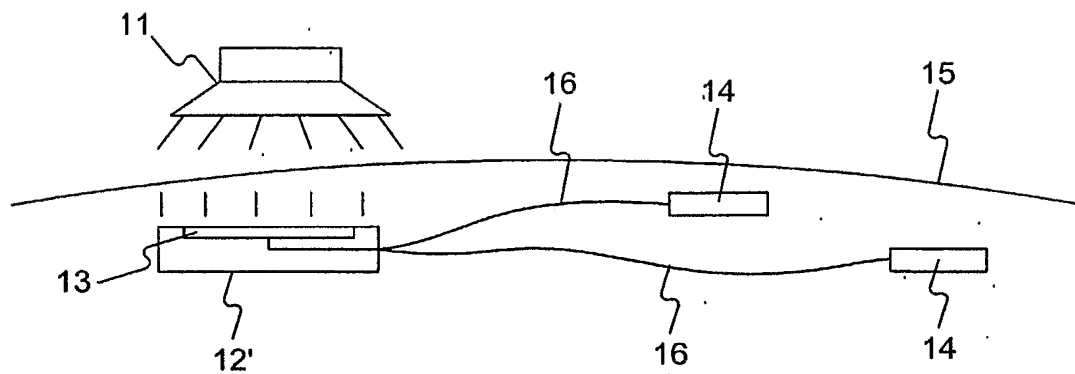
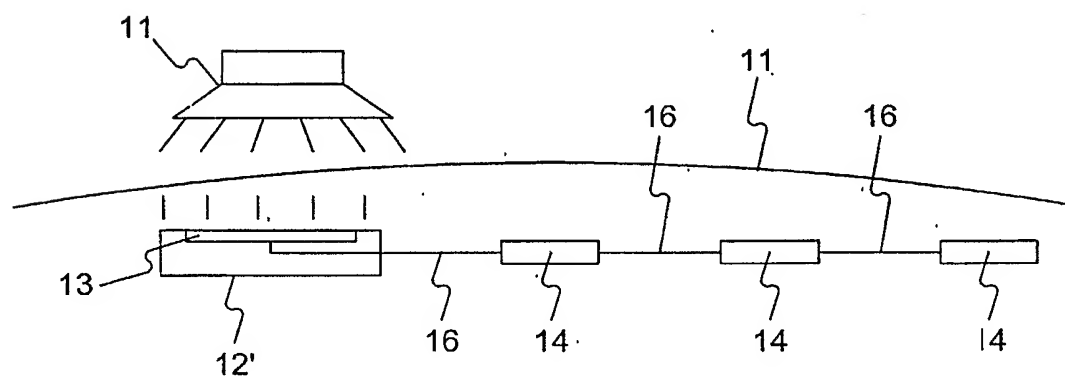


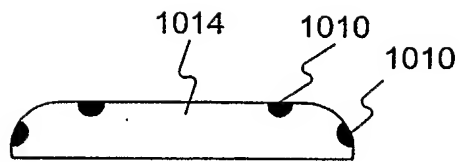
Figure 3L



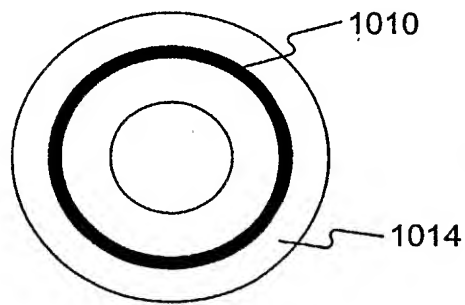
**Figure 4A**



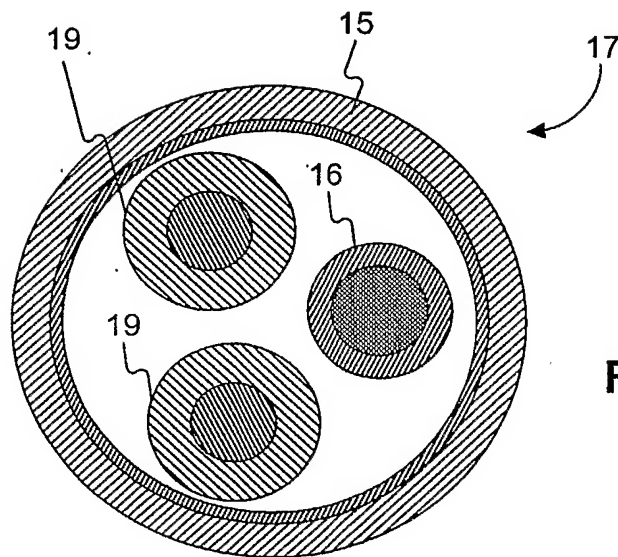
**Figure 4B**



**Figure 5A**



**Figure 5B**



**Figure 6**

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
11 December 2003 (11.12.2003)

PCT

(10) International Publication Number  
**WO 2003/101532 A3**

(51) International Patent Classification<sup>7</sup>: **A61N 1/05**,  
A61B 5/04

(21) International Application Number:  
PCT/US2003/017475

(22) International Filing Date: 4 June 2003 (04.06.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/385,761 4 June 2002 (04.06.2002) US

(71) Applicant: **CYBERKINETICS, INC.** [US/US]; 100  
Foxborough Blvd., Suite 240, Foxborough, MA 02035  
(US).

(72) Inventors: **NURMIKKO, Arto, V.**; 82 Sargent Av-  
enue, Providence, RI 02906 (US). **PATTERSON, III,**

**William, R.**; 4 Wheeler Street, Rehoboth, MA 02769  
(US). **DONOGHUE, John, P.**; 109 Hazard Avenue,  
Providence, RI 02906 (US). **FLAHERTY, Christopher,**  
**J.**; 242 Ipswich Road, Topsfield, MA 01983 (US).

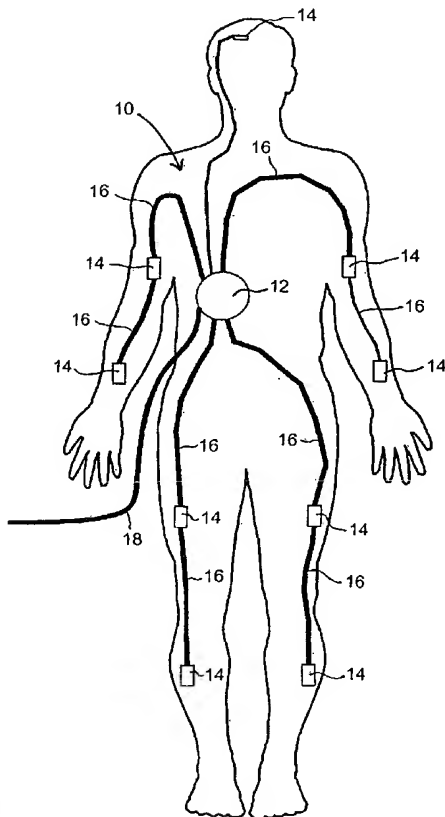
(74) Agents: **GARRETT, ARTHUR, S.** et al.; FINNEGAN,  
HENDERSON, FARABOW, GARRETT, & DUNNER,  
L.L.P., 1300 I Street, NW, Washington, DC 20005-3315  
(US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD,  
SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ,  
VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),

[Continued on next page]

(54) Title: OPTICALLY-CONNECTED IMPLANTS AND RELATED SYSTEMS AND METHODS OF USE



(57) Abstract: According to embodiments of the invention, one or more implants in a body may be connected with optical fibers for transmitting data and/or power to or from the implants. Aspects of the invention related to various embodiments of the actual implant as well as to various embodiments for connecting optical fibers to the implants.

WO 2003/101532 A3



European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

- *with international search report*
- *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

**(88) Date of publication of the international search report:**  
1 April 2004

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*



## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/17475

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 7 A61N1/05 A61B5/04

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61N A61B G02B H02N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 091 015 A (DEL VALLE CARLOS ALGORA ET AL) 18 July 2000 (2000-07-18) column 3, lines 17-32	1-11, 15-21
Y	column 3, line 44 - column 4, line 20; figures 1,2	12
X	----- US 5 702 432 A (CHEN JAMES C ET AL) 30 December 1997 (1997-12-30)  column 7, lines 25-60 column 8, lines 39-50; figures 10-12 ----- -/--	1,3,7, 9-11, 15-17, 20,21

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"G" document member of the same patent family

Date of the actual completion of the international search

3 October 2003

Date of mailing of the international search report

03.02.2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Ceccarelli, D

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/17475

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 445 608 A (CHEN JAMES C ET AL) 29 August 1995 (1995-08-29)  column 9, line 18 - column 10, line 45 column 12, line 59 - column 13, line 5 column 13, lines 25-32 column 19, lines 27-66; figures 1-2C, 12A-18	1-3, 7-11, 15-21
Y	----- WO 01/043635 A (BIJAN PESARAN ;PARTHA MITRA (US); ANDERSON RICHARD A (US); CALIFOR) 21 June 2001 (2001-06-21) abstract; figure 8	12
A	----- US 5 814 089 A (DONDERS ADRIANUS P ET AL) 29 September 1998 (1998-09-29) the whole document -----	1-12, 15-21

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 03/17475

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 41-89  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  
1-12, 15-21

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.1

Claims Nos.: 41-89

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-12,15-21

System for treating a body comprising a first device, a second device and an optical fiber capable of transmitting light in the form of power and data between the two devices.

---

2. claims: 13-14

System for treating a body comprising a first device, a second device, an optical fiber capable of transmitting data between the two devices and an electrical conductor capable of transmitting electrical power between the two devices.

---

3. claims: 22-29

System for treating a body comprising a first device, an encapsulation covering substantially all of the first device and an optical window associated with the first device and not covered by the encapsulation.

---

4. claims: 30-40

System for treating a body comprising a device including an array of electrodes capable of sensing neural signals and at least an optical fiber coupled with the device.

---

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 03/17475

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 6091015	A	18-07-2000	ES 2129361 A1	01-06-1999
US 5702432	A	30-12-1997	AU 725077 B2	05-10-2000
			AU 4082797 A	24-04-1998
			CA 2265507 A1	09-04-1998
			EP 1009482 A1	21-06-2000
			JP 2000503579 T	28-03-2000
			JP 3164827 B2	14-05-2001
			WO 9814243 A1	09-04-1998
US 5445608	A	29-08-1995	AT 252934 T	15-11-2003
			AU 674251 B2	12-12-1996
			AU 7357594 A	14-03-1995
			CA 2147142 A1	23-02-1995
			DE 69433277 D1	04-12-2003
			EP 1334748 A1	13-08-2003
			EP 0680363 A1	08-11-1995
			JP 8505803 T	25-06-1996
			WO 9505214 A1	23-02-1995
WO 0143635	A	21-06-2001	AU 2272301 A	25-06-2001
			EP 1237473 A1	11-09-2002
			WO 0143635 A1	21-06-2001
			US 2003078522 A1	24-04-2003
			US 2002016638 A1	07-02-2002
US 5814089	A	29-09-1998	AU 5526798 A	15-07-1998
			WO 9826840 A1	25-06-1998